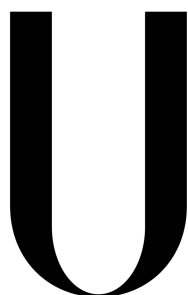


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Time-resolved optical tomography of functional activation in the adult brain using a supercontinuum laser source

Tania Roque

Dissertação

Mestrado Integrado em Engenharia Biomédica e Biofísica
Perfil de Sinais e Imagens Médicas

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Orientadores Professor Doutor João Pinto Coelho
Professor Doutor Jeremy Hebden

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Resumo

Esta dissertação descreve o projeto de investigação realizado no Department of Medical Physics and Bioengineering da University College of London, cujo tema é a tomografia ótica da ativação funcional no cérebro adulto utilizando um laser supercontínuo.

A imagiologia ótica é uma técnica que consiste em irradiar um volume de interesse com uma fonte luminosa, analisar as propriedades da luz que atravessou esse mesmo volume e reconstruir imagens com base nessa informação. Esta técnica já provou ser útil em diversos campos da ciência e da engenharia, e o seu potencial na área da saúde tem vindo a ser cada vez mais estudado. Atualmente, e no âmbito da Engenharia Biomédica, as suas principais aplicações são a imagiologia funcional do cérebro adulto e do cérebro do recém-nascido, a mamografia ótica, o estudo de tecido muscular e ósseo, e a imagiologia molecular.

Independentemente do tecido em estudo, é a interação da luz com a matéria que permite a aquisição de informação biológica, nomeadamente a dispersão e a absorção. A luz é uma forma de radiação eletromagnética e pode ser caracterizada de diversas formas. Uma característica do seu movimento ondulatório é o comprimento de onda, que consiste na distância linear em nanómetros (nm) entre duas cristas da onda luminosa e é uma medida do conteúdo energético da luz. Ao deslocar-se num meio, uma fração ou a totalidade da luz pode ser absorvida pela matéria envolvente. Quando este fenómeno – a absorção – ocorre, há uma transferência de energia da onda luminosa para a matéria envolvente. Contudo, nem toda a matéria absorve a luz na mesma medida, e é exatamente por isso que a imagiologia ótica é possível. De facto, se dois tecidos diferentes interagirem com a luz de igual forma, não é possível distingui-los numa imagem resultante de imagiologia ótica. Assim, diferentes moléculas apresentam diferentes espectros de absorção de luz. Se uma onda luminosa com um comprimento de onda específico atravessar dois volumes, cada um contendo uma substância com espectro de absorção diferente, a quantidade de energia transferida para cada um desses volumes será diferente. Se, por exemplo, repetirmos a mesma experiência com outro comprimento de onda maior ou com uma maior concentração das substâncias no volume estudado, a absorção luminosa já não será a mesma. A imagiologia ótica baseia-se nesta e noutras alterações da onda luminosa, tal como a fase e a amplitude da onda, para criar imagens.

Para tal, é necessário que o sistema de imagiologia possua uma fonte luminosa e detetores de luz. Dependendo das configurações destes dois componentes, é possível categorizar os diversos sistemas de imagiologia ótica. No caso particular do aparelho utilizado para este projecto, trata-se de um sistema designado pelo acrónimo MONSTIR, do inglês Multi-channel Opto-electronic Near-infrared System for Time-resolved Image Re-

construction. O seu funcionamento passa por um laser supercontínuo que permite escolher até 4 diferentes comprimento de onda no infravermelho próximo para serem utilizados numa mesma experiência. A luz gerada pelo laser percorre as várias fibras até penetrar nos tecidos. Aí a luz interage com a matéria, sendo que a luz que não foi absorvida acaba por sair e por ser captada pelas fibras óticas. Esse sinal luminoso, que contém a informação biológica que procuramos obter, é encaminhado para um conjunto de atenuadores, detetores e contadores de fótons. Depois ser assim convertido para um formato digital, este sinal é analisado por softwares especializados que extraem a informação necessária para reconstruir imagens. A reconstrução de imagens utilizou um método linear e uma malha tridimensional do volume estudado – o cérebro.

Este aparelho foi desenvolvido no Biomedical Optical Research Laboratory da UCL com o propósito de ser utilizado em ambiente clínico para monitorizar a ocorrência de convulsões em bebés nascidos prematuramente e avaliar a sua resposta aos tratamentos. As convulsões estão relacionadas com um estado de hipoxia cerebral, isto é, com concentrações de oxigénio no cérebro muito baixas. Podem-se distinguir duas moléculas relevantes neste contexto: a oxihemoglobina, que transporta o oxigénio, e a deoxihemoglobina, que já forneceu o oxigénio aos neurónios. As concentrações destas duas moléculas são alteradas quando um bebé está em hipoxia cerebral, e esta é a alteração fisiológica que o MONSTIR consegue medir. Esta medição é possível porque a oxihemoglobina e a deoxihemoglobina têm espectros de absorção *diferentes*. Por exemplo, se iluminarmos o crânio de um bebé com um comprimento de onda que sabemos ser altamente absorvido pela oxihemoglobina e se os detetores do MONSTIR detetarem uma elevada fração dessa luz, é sinal que a luz atravessou os tecidos sem encontrar uma concentração elevada de oxihemoglobina - podemos estar a diagnosticar um caso de hipoxia cerebral.

No entanto, para poder realizar o seu propósito, o MONSTIR precisa de completar uma fase de caracterização e afinação de modo a garantir que a sua utilização em ambiente clínico é totalmente segura e que os dados recolhidos são fiáveis. Uma das questões que se levanta quanto aos seus parâmetros de funcionamento ótimos é sobre os comprimentos de onda a seleccionar. Tal como foi referido acima, o MONSTIR dá-nos a liberdade de escolher até 4 comprimentos de onda. Quais são os 4 comprimentos de onda que garantem as melhores imagens do cérebro? Esta questão é extremamente importante para a qualidade dos resultados, mas não é óbvia. Uma revisão da literatura sobre a investigação com imagiologia ótica revela que a escolha dos comprimentos de onda muitas vezes não é justificada com um argumento científico. A otimização dos comprimentos de onda utilizados é portanto um tópico que pode ter um impacto significativo nas futuras investigações neste campo. Como tal, decidiu-se testar qual a melhor combinação de 4 comprimentos de onda para a aquisição de imagens do cérebro.

Foi realizada uma experiência num sujeito adulto, saudável, que realizou uma tarefa motora simples. Para manter as fibras óticas junto ao couro cabeludo do sujeito, foi-lhe colocada uma touca normalmente utilizada para encefalografias. Nove fibras óticas foram dispostas sobre o córtex motor esquerdo do sujeito. Para fixar as fibras óticas à touca foi necessário fabricar nove conectores à medida. Desenharam-se os conectores em CAD e recorreu-se a uma impressora 3D para os fabricar. O estímulo motor realizado pelo sujeito consistiu em tocar sequencialmente e repetidamente com cada dedo da mão

direita no polegar da mesma mão. No total, a aquisição de dados durou cerca de 25 minutos, durante os quais houve períodos regulares de repouso para que o sujeito não criasse habituação à tarefa motora e para permitir a aquisição de um sinal de referência (sem qualquer estímulo). O objetivo desta experiência era comparar a qualidade do sinal resultante da ativação do córtex motor dependendo da nossa escolha de 4 comprimentos de onda. Foram testados 12 comprimentos de onda diferentes que, ao serem combinados em conjuntos de 4, resultaram em 495 diferentes combinações de 4 comprimentos de onda. Estas combinações foram analisadas com 3 métodos diferentes.

O método A tem recurso a um algoritmo baseado na lei de Beer-Lambert modificada e adaptada à imagiologia ótica. O output deste algoritmo é a variação na concentração dos dois cromóforos estudados, oxihemoglobina e deoxihemoglobina. As 495 combinações de 4 comprimentos de onda são testadas neste algoritmo, e aquilo que procuramos são aquelas que produzam um maior sinal, ou seja, uma maior variação na concentração de oxihemoglobina e deoxihemoglobina. Espera-se que a obtenção de um maior sinal irá levar a melhores imagens.

O método B também utiliza o algoritmo baseado na lei de Beer-Lambert modificada, mas desta vez o seu output é comparado com um modelo teórico que prevê os valores de concentração de oxihemoglobina e deoxihemoglobina. Antes de tudo, este modelo teórico é criado para se adaptar o melhor possível aos nossos dados experimentais, e depois é comparado aos 495 possíveis outputs do algoritmo. A melhor combinação é a responsável pela geração do output mais próximo do modelo teórico.

O método C consiste primeiro na reconstrução de 495 imagens, cada uma delas resultante de uma combinação diferente de 4 comprimentos de onda. É também reconstruída uma imagem ideal que contém informação dos 12 comprimentos de onda. Esta é considerada a melhor imagem possível e procuramos a imagem de 4 comprimentos de onda que lhe seja mais semelhante. Esta medida de semelhança é feita com recurso à raiz do erro quadrático médio.

Para cada um destes métodos é feita uma classificação de #1 a #495 das combinações de comprimentos de onda. As melhores e as piores 5 combinações de cada método foram então analisadas em maior profundidade. Conclui-se que o método mais adequado para a classificação das combinações de comprimentos de onda é o método C, visto que em última análise aquilo que pretendemos são as melhores imagens possíveis. A melhor combinação de comprimentos de onda com este método foi 690, 790, 810 e 840 nm. Verificou-se também que os comprimentos de onda utilizados na literatura são na sua grande maioria comprimentos que se encontravam bastante baixos na classificação que fizemos. No futuro, é importante aperfeiçoar o método C, repetir esta experiência com outros sujeitos e estudar outros cromóforos.

A dissertação está dividida em 4 capítulos. O primeiro consiste numa introdução que explora as propriedades óticas dos tecidos biológicos, a engenharia por detrás da imagiologia ótica e o state-of-the-art das escolhas dos comprimentos de onda na imagiologia ótica. O segundo capítulo descreve os procedimentos para a aquisição de dados, portanto a vertente mais prática deste projecto. O terceiro capítulo apresenta os dados obtidos ao mesmo tempo que explora a metodologia da análise de dados. No quarto capítulo é feita a discussão dos dados, são apresentadas as limitações deste projeto, e as conclusões.

Palavras-chave: Imagiologia ótica, Imagiologia funcional, Infravermelho próximo, Otimização, Comprimento de onda, Córtex motor, Oxihemoglobina, Deoxihemoglobina, MONSTIR, Laser supercontínuo

Abstract

Diffuse optical imaging (DOI) is finding widespread application in the study of human brain activation. However, the process of wavelength selection is unclear and may lead to choices that negatively affect the accuracy and reliability of the data.

This thesis describes a method to empirically determine the combination of four near-infrared (NIR) wavelengths that yields the best images of functional activity in the brain. An experiment was designed to quantify changes of chromophores oxyhaemoglobin and deoxyhaemoglobin in the motor cortex of a healthy adult subject using UCL's second generation optical imaging device, MONSTIR. This device allows us to use four wavelengths simultaneously. A set of twelve was tested and recombined into 495 combinations of four wavelengths. This data was analysed with three methods that ranked the four-wavelength combinations according to different conditions. Method A looked for the largest change resulting from a modified Beer-Lambert law algorithm. Method B favoured the combinations whose output of the same algorithm was closest to a theoretical model. Method C compared the 495 four-wavelength images to a twelve-wavelength image (a gold-standard) and ranked the wavelength combinations by looking for the minimum root-mean-square error between each pair of images.

We concluded that the most adequate method is method C because it provides with the best images. The best set of wavelengths obtained was 690, 790, 810 and 840 nm. These results are specific to the imaging device used, however our general method can be applied to other optical imaging systems.

Keywords: Optical imaging, Functional imaging, Near-infrared, Optimization, Wavelength, Motor cortex, Oxyhaemoglobin, Deoxyhaemoglobin, MONSTIR, Supercontinuum laser

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List of Acronyms

MONSTIR Multi-channel Opto-electronic Near-infrared System for Time-resolved Image Reconstruction

NIR near-infrared

BORL Biomedical Optical Research Laboratory

NIRS (near-infrared spectroscopy

BOLD blood oxygen level dependence

DOI diffuse optical imaging

PET positron emission tomography

SPECT single positron emission computed tomography

MEG magnetoencephalography

fMRI functional magnetic resonance imaging

ERP event related potentials

EEG electroencephalography

AOTF acousto-optical tunable filters

TCSPC time correlated single photon counting

VOA variable optical attenuator

PMT photomultiplier tubes

TPSF temporal point spread function

CW continuous wave

FR frequency-resolved

APD avalanche photodiodes

DPF differential path length factor

TOAST Temporal Optical Absorption and Scattering Tomography

FEM finite-element method

ATP adenosine-tri-phosphate

CBF cerebral blood flow

CMRO₂ cerebral metabolic rate of oxygen

HbO₂ oxyhaemoglobin

HbR deoxyhaemoglobin

HbT total haemoglobin

ANOVA analysis of variance

SNR signal-to-noise ratio

RMSE root-mean-square error

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Chapter 1

Introduction

1.1 Motivation

A Multi-channel Opto-electronic Near-infrared System for Time-resolved Image Reconstruction (MONSTIR) was developed at the UCL's Biomedical Optical Research Laboratory (BORL). This time-resolved optical imaging device was designed to diagnose seizures in premature babies and monitorize their response to treatment. It gives us the possibility of recording data at *any* four wavelengths simultaneously. When doing optical imaging, the tissue is illuminated and the properties of the collected light are analysed. The choice of the wavelength to use when irradiating the tissue is very important for the quality and reliability of the results. However, this choice is not obvious and a literature review reveals that the choice of wavelengths in optical imaging experiments isn't always scientifically justified. The purpose of my project was to empirically determine the combination of four NIR wavelengths that yields the best images of functional activity in the brain. The studied chromophores were oxyhaemoglobin and deoxyhaemoglobin.

The present thesis describes the work done during my 8-month internship at BORL. This work consisted on the characterization of MONSTIR, the acquisition of images of the healthy adult brain during a simple motor experiment and the analysis of the data.

1.2 Fundamentals of biomedical optics

1.2.1 Light properties

A light wave is a sinusoidal function such as the following:

$$x(t) = A \cdot \sin(2\pi ft + \varphi) \quad (1.1)$$

The function varies with time, and A , f and φ are constant parameters called the amplitude, frequency, and phase of the sinusoid. The square of the wave amplitude A is proportional to irradiance - named intensity in most branches of physics - which is the power of electromagnetic radiation per unit area incident on a surface (W/m^2). Power is a property of the light source that describes the rate at which light energy is emitted by the source, and is often measured in units of watts (W). When increasing the power or intensity of a light beam, one is increasing its amplitude and therefore the number of

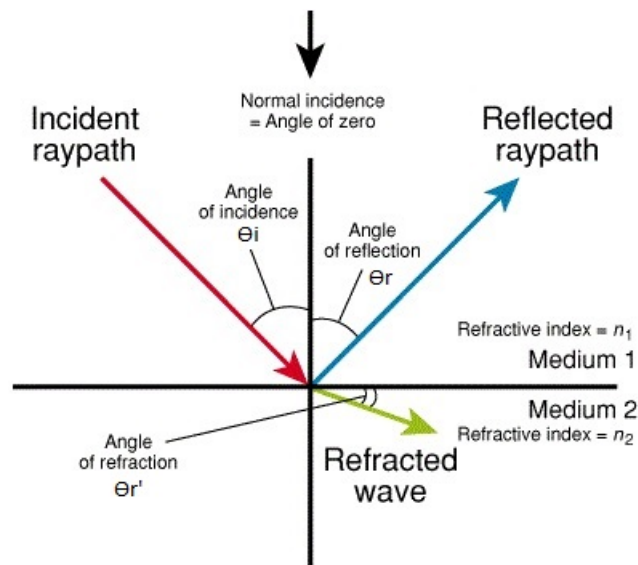


Figure 1.1: Light reflection and refraction. [27]

photons emitted. The frequency f of a light wave is inversely proportional to its wavelength. When varying these magnitudes one is not changing the number of photons, but their energy: higher wavelengths are more energetic than lower wavelengths. The phase is the fraction of the wave cycle which has elapsed relative to the origin.

1.2.2 Light-tissue interaction

The encounter of light with the surface of a bulk matter can result in either reflection or refraction. The propagation of light in a given type of media can result in scattering and absorption. These four events will be described in the context of optical brain imaging.

1.2.2.1 Reflection and refraction

Reflection of light may occur whenever light travels from a medium of a given refractive index into a medium with a different refractive index. The law of reflection states that $\theta_i = \theta_r$, in other words, the angle of incidence equals the angle of reflection (see figure 1.1). If the light is incident on the tissue at 0° , maximum light will penetrate the tissue. However, when performing optical brain imaging, the surface (hair, skin, scalp) of the bulk volume (head) is rough at a microscopic level and therefore have many different normal lines. As a result, the reflected rays bounce off in many angles rather than in just one angle. This is known as non-specular or diffuse reflection, in opposition to specular reflection that occurs on a mirror, for instance.

When light is transmitted from one medium to another, the difference in the refractive index results in a change in the speed of light. If this transmission happens at any angle other than 0° or 90° , the difference in the refractive index also results in a change of the light's direction. This change is responsible for the bending of light, or refraction, and is explained by Snell's law: the ratio of the sines of the angles of incidence and refraction is equivalent to the ratio of phase velocities in the two media. This phenomena results in the

phase shift of wavefront points as their velocity changes within media of different optical properties. Just as for reflection, the roughness of biological tissues' surface generates refracted rays in multiple directions under the tissues' surface. In practice, when illuminating a tissue for optical imaging purposes, the effort is to have an incidence angle of 0° so that the fraction of light that enters the tissue is maximized. Then, usually a fraction of the light is reflected from the interface and the remainder is refracted. [25, 30]

1.2.2.2 Absorption and scattering

Absorption and scattering are the optical events exploited for medical diagnostic in the NIR range. When the energy associated with the incident infrared radiation dissipates in the medium, it does so by transferring heat to the surrounding tissue - this process is known as absorption. The heat production occurs in particular within the infrared region of the electromagnetic spectrum because the frequencies covered by its radiation are comparable to the natural frequencies at which atoms or molecules vibrate. The result is a resonance around the natural frequencies and energy (a photon) is transferred from the incident field to the medium. The overall effect of absorption is therefore a reduction in the intensity of the light beam crossing the system, hence the ratio of absorbed and incident intensities in the Beer-Lambert law (equation (1.2)).

$$\frac{I}{I_0} = e^{-\mu_a(\lambda)x} \quad (1.2)$$

$$\mu_a(\lambda) = \sum_{i=1}^n \alpha_i(\lambda)c_i \quad (1.3)$$

This law states that for an absorbing compound dissolved in a non-absorbing medium, the attenuation ($\ln \frac{I}{I_0}$) is proportional to the concentration of the compound in the solution (c_i) and the optical path length x , which is the distance travelled in the medium. For a medium such as the brain, the absorption coefficient of the medium μ_a is the combination of many different optically absorbing substances - the chromophores. The most present are water, haemoglobin, lipids, melanin and cytochrome oxidase. The expression of the absorption coefficient for n different substances in the brain tissue is therefore the linear sum of the individual specific absorption coefficient $\alpha(\lambda)$ (with units cm^{-1}) multiplied by the respective concentrations c , as equation (1.3) shows.

Focusing on some of the chromophores mentioned above, haemoglobin is typically present as either oxyhaemoglobin (HbO_2) or deoxyhaemoglobin (HbR), depending on being bound or not to oxygen. The optical absorption of oxy and deoxyhaemoglobin for NIR radiation is represented in figure 1.2, which shows an optical window between 600 and 1000 nm, where absorption is lower. This optical window is what justifies the use of wavelengths between 600 and 900 nm (approximately) in optical imaging because less absorption by the tissue means that scattering is the most dominant light-tissue interaction in this range. Therefore the propagating light has its maximum depth of penetration in tissue and is able to collect more biological information. At 800 nm is the isobestic point where oxy and deoxyhaemoglobin show the same absorption value. Figure 1.3 shows the absorption spectrum of water - this is a relevant chromophore as it is present in very high concentrations in the human body. The absorption spectrum of water (which is very close

to that of lipids) exhibits a marked increase in absorption of light at wavelengths greater than approximately 900 nm. Once again, this supports the use of the NIR wavelengths for the study of soft tissues, as light will penetrate further than if we used higher wavelengths.

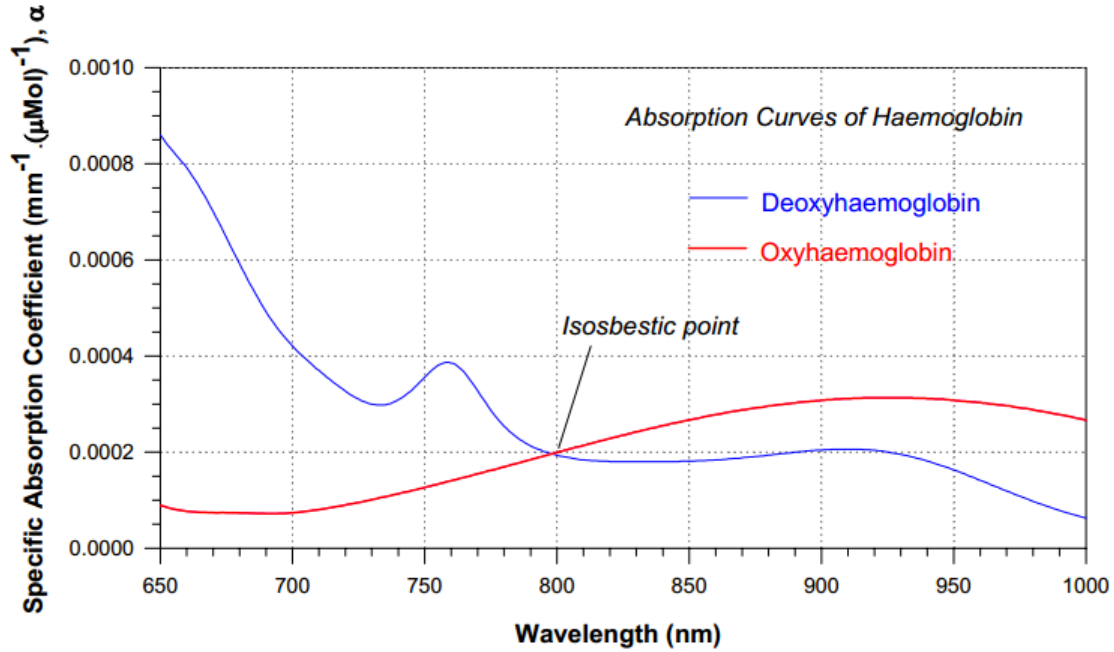


Figure 1.2: Absorption spectra of oxyhaemoglobin and deoxyhaemoglobin. [5]

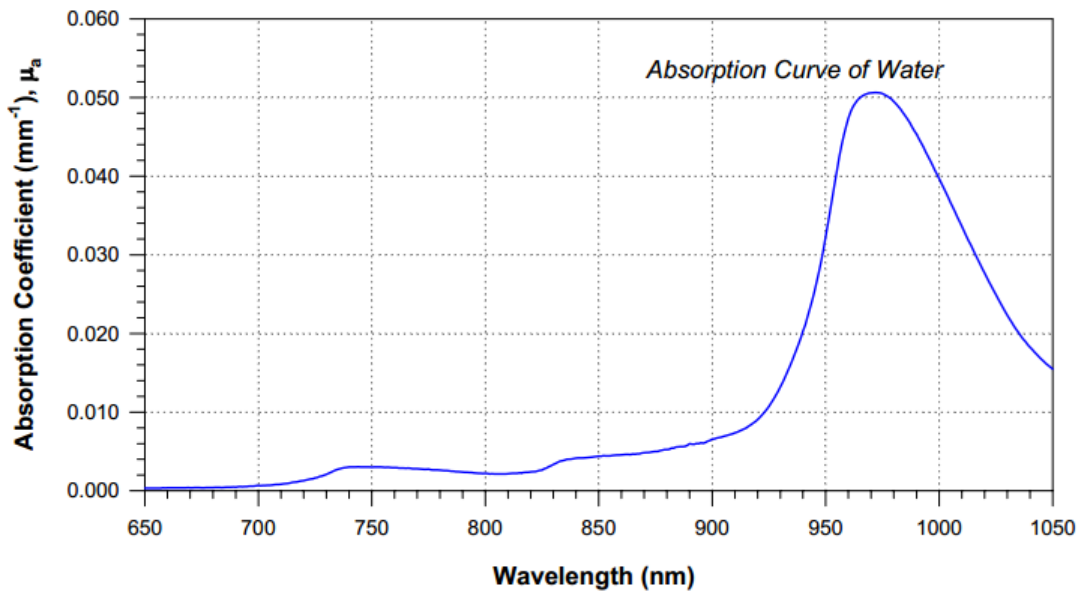


Figure 1.3: Absorption spectrum of water. [5]

The events that result in a photon's change of directionality or energy are known as elastic or inelastic scattering, respectively. Scattering is by far the dominant interaction of NIR light with tissues, and elastic scattering is the dominant type of scattering. The

scattering arises due to a relative refractive index mismatch at the boundaries between two media or structures, e.g. between the extracellular fluid and the cell membrane, and can therefore happen successively within the tissue (as figure 1.4 illustrates) until the photons arrive back at the surface or until they are absorbed. The attenuated light intensity due to a single scattering is represented on equation (1.4), where x is the optical path length. The scattering coefficient μ_s is the probability that a photon will undergo a scattering event per length of medium, and is computed by the product of the scattering cross section of the particles (s) and the number density of particles (N).

$$\frac{I}{I_0} = e^{-\mu_s x} \quad (1.4)$$

$$\mu_s = N * s \quad (1.5)$$

As soon as the light has entered the tissue, the occurrence of multiple scattering events creates an isotropic distribution of light, which contrasts with the initial well-defined path of laser light commonly used in optical imaging. In terms of modelling, it is then necessary to consider the probability of a photon being scattered in a given direction at each interaction. The probability of a photon incident along a unit vector p being scattered into a direction q is described by the phase function $f(p, q)$. In isotropic media, this probability won't depend on direction p , but on the angle between p and q - referred to as the scattering angle e . The phase function can then be re-written as a function of the cosine of the scattering angle. By calculating the mean cosine of e (that is, g), one can characterize the anisotropy of tissue scattering. The equation below represents the reduced scattering factor, which includes g , and measures the effective number of isotropic scatters per unit length.

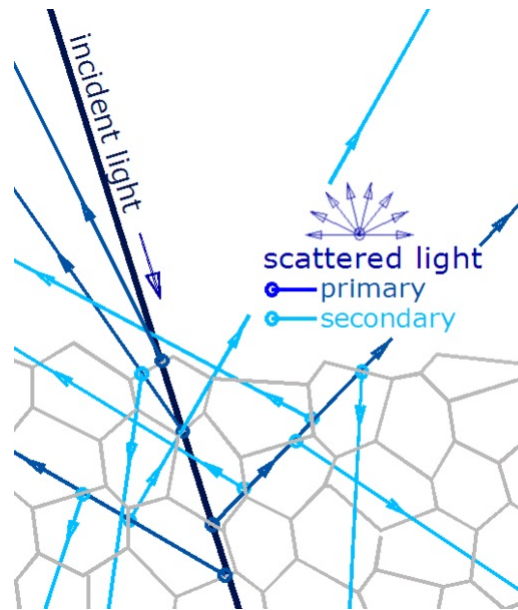


Figure 1.4: Light scattering through a layer of cells; the polygons' countours are the cells' membranes. [16]

$$\mu'_s = \mu_s(1 - g) \quad (1.6)$$

The anisotropy of biological tissues is in the range $0.69 > g > 0.99$, whose values demonstrate the predominantly forward direction in which scatter occurs. However, the high values of μ_s lead to an isotropic distribution after the light has travelled a few millimetres. [9, 5, 13]

1.2.3 Modified Beer-Lambert law

In a highly scattering medium the photon path length within tissue increases, and therefore the probability of absorption substantially increases as well. So in media where both scattering and absorption take place the Beer-Lambert law equation must be modified to take into account two phenomena. First, the photon path length is increased due to the scattering events; second, a part of the photons are rendered undetectable by being scattered away from the detector. The modified Beer-Lambert law fulfils these requirements:

$$\frac{I}{I_0} = e^{-\mu_a(\lambda)Dx+G} \quad (1.7)$$

However, two assumptions that are clearly inadequate for most biological media have to be made: scattering is constant and the medium is homogeneous. In equation (1.7), D is known as the differential path length factor (DPF) and considers the first stated phenomenon. DPF is a scaling factor for the geometrical distance x and is dependent upon the reduced scattering coefficient μ'_s , the absorption coefficient $\mu_a(\lambda)$ and the geometry of source and detector. The DPF has been measured in the adult head using both the UCL time of flight system and the UCL intensity modulated optical spectrometer, and a value of approximately 6 was obtained - this value can vary with age, gender and optode position on scalp. This means the euclidean distance between the point where the photon is released and the point where it is detected is increased roughly 6 times due to the scattering events that occur in between. [13] G depends upon the geometry of the set-up and the scattering coefficient of the interrogated tissue, and represents the loss of intensity due to scattered photons that do not reach the detector. This factor is very difficult to calculate, making it impossible to solve equation (1.7). However, by making more than one measurement and by considering that G does not vary during the measurement period, one can record changes in the attenuation of NIR light over the medium. The attenuation change between state 1 and state 2 is:

$$\ln \frac{I_2}{I_0} - \ln \frac{I_1}{I_0} = \ln \frac{I_2}{I_1} = (\Delta\mu_{a(2-1)}(\lambda))Dx \quad (1.8)$$

Due to the subtraction, G no longer figures in the equation. Given that we compute Dx , that we use a device which measures $\ln \frac{I_2}{I_1}$ (the amount of light lost through the medium) and that $\Delta\mu_{a(2-1)} = \sum_{i=1}^n \alpha_i * \Delta c_i$, equation (1.8) gives us the change in the concentration of the chromophores. [13, 9] Section 1.4.1 explores how the change of each specific chromophore is computed.

1.3 The brain

1.3.1 Brain tissue properties

Biological tissue is an inhomogeneous and anisotropic medium made up of many different structures and substances. For the brain, these substances are water (77 to 78%), lipids (10 to 12%), protein (8%) and some carbohydrates and salts. Besides the brain itself, the intracranial space contains blood (10%) and cerebrospinal fluid (10%). This cavity is enclosed by the skull and a layer of skin, which are highly scattering tissue. [22]

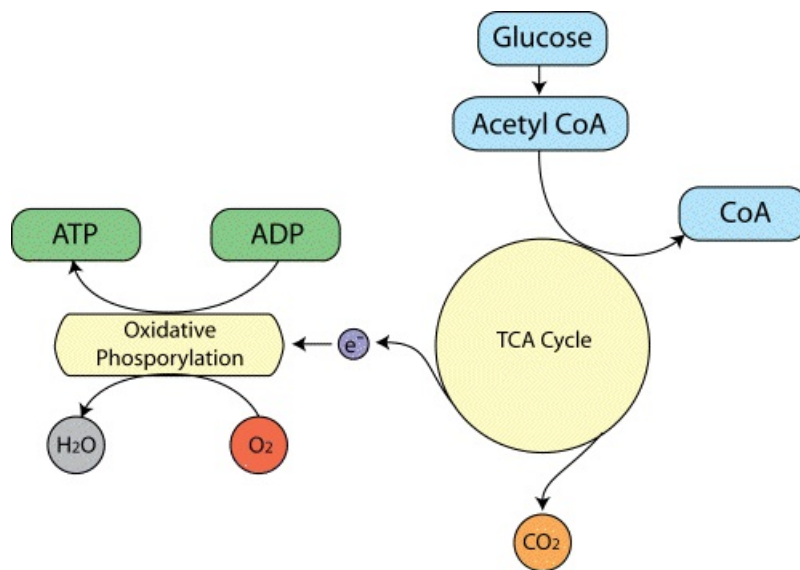


Figure 1.5: Overview of the aerobic metabolism of glucose to ATP following the Krebs's cycle. [7]

1.3.2 Electrical and vascular activity

As the center of the nervous system, the brain is mostly made of neurons, among other types of cells. Due to the capacity of polarization of their membranes, neurons are electrically excitable cells. This polarization occurs due to the an organized movement of ions in and out of the cell, thus creating a potential between the intracellular and extracellular spaces. The transient polarization of the membrane, when the electrical membrane potential of a cell rapidly rises and falls, is a short-lasting event called action potential. It is through action potentials that the information is processed and transmitted from neuron to neuron. This phenomenon is known as the neuron's electrical response, which can be studied with techniques such as electroencephalography (EEG).

Another type of neural response with more relevance to this work is the vascular response. Brain performs work by consuming adenosine-tri-phosphate (ATP) energy, but it first needs to produce this ATP from blood glucose. This process starts in the cytosol (the liquid inside cells) and continues inside the mitochondria (a cell organelle), and is outlined in figure 1.5.

The first step is called glycolysis and takes place in the cytosol. The blood glucose that enters the cell thanks to the presence of insulin is metabolised to pyruvate. 2 NADH molecules are generated in the process, among other products. In the mitochondria, the pyruvate is converted to Acetyl-CoA, which is an input for the citric acid cycle. The citric acid cycle, or Krebs cycle, is a complex series of chemical reactions used by all aerobic organisms to generate energy (ATP) through the oxidization of acetates into carbon dioxide. Focusing on the oxidization of acetates, it is a process called oxidative phosphorylation. The acetates in question are NADH and FADH_2 molecules that will be oxidized into their reduced states, NAD^+ and FAD . Three NADH molecules (resultant from the glycolysis) and one FADH_2 molecule work as electron donors and 6 O_2 molecules are electron acceptors, thus creating an electron transport chain that only works if *oxygen* is present. The

terminal electron acceptor of the mitochondrial respiratory chain is cytochrome oxidase. [8]

The electron transport chain powers the pumping of protons out of the mitochondrial matrix, creating an electric potential gradient that provides the driving force for ATP synthesis by the ATP synthase enzyme. This enzyme contains a rotor subunit that sets up the assembling of subunits for ATP synthesis. Most of the ATP molecules are produced this way; in fact, the oxidation of each NADH molecule produces 2.5 ATP molecules, and the oxidation of each FADH₂ produces 1.5 ATP molecules. One molecule of glucose is equivalent to 30-32 ATP molecules. [36]

Optical neuroimaging works on the basis that the electrical and vascular responses are tightly coupled, influencing each other through a process called neurovascular coupling. Repeated neuronal firing requires ATP to power the active transportation of charged ions across the cellular membrane, which in turn requires glucose and oxygen.

At steady state, the amount of ATP used is equal to that produced. There is a linear relationship between oxygen consumption (in the electron transport chain) and ATP production. The oxygen consumption is therefore a good indicator of the overall energy metabolism. The input of oxygen to the tissue is assured by the blood that flows through the surrounding vessels. This flow, known as cerebral blood flow (CBF), is adjusted to the rate at which oxygen is consumed from the blood, known as the cerebral metabolic rate of oxygen (CMRO₂). This expresses a general physiological principle: when function increases so does the necessity for oxygen and substrate, and flow is accordingly increased. The change in flow is possible because of glutamate, a neurotransmitter released by active excitatory neurons. Glutamate is indeed involved in the dilation of the blood vessels and smooth muscle surrounding the neurons with increased function, allowing greater blood flow. Oxygen is transported around the body in blood by the molecule haemoglobin, a protein. The measurement of changes in concentrations of oxyhaemoglobin and deoxyhaemoglobin can provide us with information about localised tissue oxygenation. A local change in haemoglobin concentrations in the brain implies a change in the local oxygen demand, which in turn is related to the activity of the surrounding neurons. [31, 29]

1.3.3 Motor cortex

In the light of the experiment described in section 2, it is in the motor cortex that we are looking for changes in neuron activity - it is therefore useful to explain its location. The motor cortex is the region of the cerebral cortex involved in the planning, control, and execution of voluntary movements. Among its several parts, the primary motor cortex is the main contributor to generating neural impulses that pass down to the spinal cord and control the execution of movement. This brain region is located, in humans, in the posterior portion of the frontal lobe (see figure 1.6) and contains large neurons known as Betz cells. Betz cells, along with other cortical neurons, send long axons down the spinal cord, which connects to the muscles. The primary motor cortex contains a rough map of the body (figure 1.7), with different body parts controlled by partially overlapping regions of cortex arranged from the toe (at the top of the cerebral hemisphere) to mouth (at the bottom) along the central sulcus. Each cerebral hemisphere contains a map that controls mainly the contralateral (opposite) side of the body. [28]

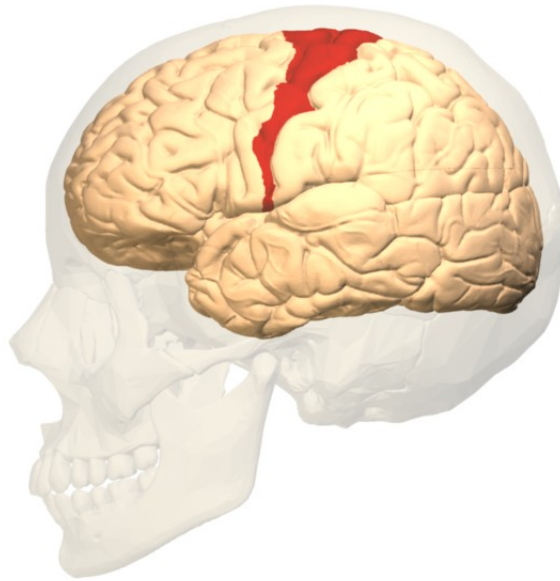


Figure 1.6: The human motor cortex, in red, is lined up along a fold called the central sulcus. [4]

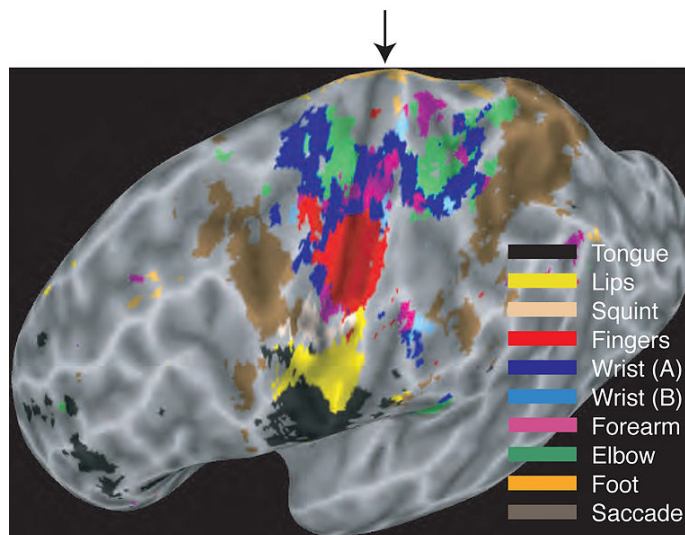


Figure 1.7: Map of the body in the human brain. The cerebral cortex has been “inflated” to show the results more clearly. Movements of different body parts evoked activity in the primary motor cortex (left of the central sulcus, shown by the arrow) and primary somatosensory cortex (right of the central sulcus). Although different body parts activated overlapping areas of cortex, only the strongest activations are indicated. [24]

1.4 Near infrared imaging

The previous two sections explained the relationship between chromophores and light, and between chromophores and brain activity. This section explains how to obtain information about brain activity using optical imaging.

1.4.1 Near infrared imaging signal

The chromophores of interest when doing optical brain imaging are the ones that vary over the period of a measurement or experiment. Water, lipids and melanin are expected to remain constant throughout a short period of time, and are thus responsible for the baseline or background signal. Oxyhaemoglobin, deoxyhaemoglobin and cytochrome oxidase have a physiological interest when doing functional studies of the brain using optical imaging. Cytochrome oxidase is an enzyme that contains four redox active metal centres; one of these, the binuclear Copper A centre, has a strong absorbance in the near-infrared. However, the fact that the concentration of this centre is less than 10 per cent of that of haemoglobin means that its detection is not a trivial matter, and is commonly neglected in (near-infrared spectroscopy (NIRS) measurements. [8] Due to the fact that the work here presented focuses on the chromophores oxy and deoxyhaemoglobin, cytochrome oxidase won't be explored in further detail.

For these two chromophores, and considering equations (1.3) and (1.8), the total absorption coefficient change is

$$\Delta\mu_a(\lambda) = \alpha_{HbO_2}(\lambda) * \Delta C_{HbO_2} + \alpha_{HHb}(\lambda) * \Delta C_{HHb} \quad (1.9)$$

However, in order to find the two unknowns, that is, the change in concentration of both chromophores (ΔC_{HbO_2} and ΔC_{HHb}), one needs to solve two or more of these equations simultaneously. It is possible to create more equations by using simultaneously two or more wavelengths in each measurement.

$$\Delta\mu_a(\lambda_1) = \alpha_{HbO_2}(\lambda_1)\Delta C_{HbO_2} + \alpha_{HHb}(\lambda_1)\Delta C_{HHb} \quad (1.10)$$

$$\Delta\mu_a(\lambda_2) = \alpha_{HbO_2}(\lambda_2)\Delta C_{HbO_2} + \alpha_{HHb}(\lambda_2)\Delta C_{HHb} \quad (1.11)$$

As explained in section (1.2.3), the reliable reconstruction of the absolute optical properties is very difficult. An accurate knowledge of the interrogated volume and of the positions of the sources and detectors is required, presenting a challenge when obtaining data from the head, for instance. This problem is overcome by reconstructing images using differences in data resulting from a change in optical properties. Difference imaging is achieved by scanning the interrogated tissue before and after a change in the cerebral blood volume and/or oxygenation. [2] Because we are seeking to measure a change in oxy and deoxyhaemoglobin concentration, we need to create an external stimulus that we assume to increase the activity of the neurons in the specific region of the brain being studied. The changes are expected to be seen when comparing this data with the one acquired without a stimulus. Figure 1.8 shows the typical response measured with NIR imaging when the stimulus had the desired effect.

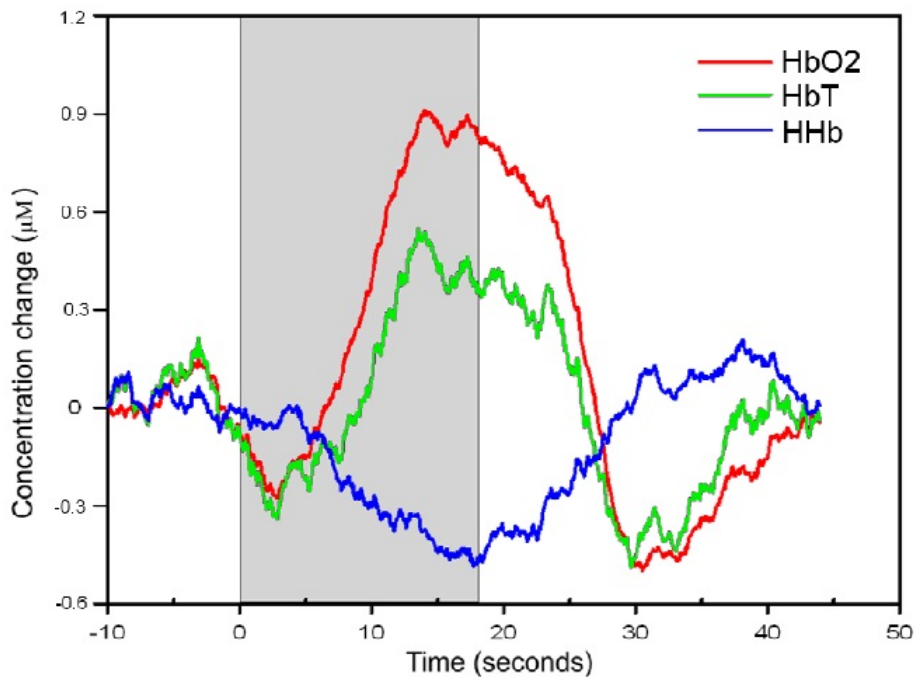


Figure 1.8: Typical NIR signal in result of functional brain activation, showing the concentration change of oxyhaemoglobin, deoxyhaemoglobin and HbT. The duration of the stimulus is represented by the gray area. (Meek et. al, 1995)

It shows a marked increase in oxyhaemoglobin and a decrease in deoxyhaemoglobin. The sum of both results in an increase in HbT. We know that a change in neuronal activity is related not only to a change in the consumption rate of the oxygen present in blood ($CMRO_2$) but also in the amount of blood reaching the neurons (CBF). The reason for the signal in figure 1.8 is that even though we know there is a change in $CMRO_2$ occurring, the overcompensation in CBF is such that it masks the change in oxygen consumption. In fact, Fox et al. (1986) found that the ratio of the increase in CBF to the increase in $CMRO_2$ is approximately 6 for a somatosensory stimulation task.

Analysing the time scale, one can see that just after the start of the stimulus there is a decrease in HbO_2 and an increase in HHb . This is thought to be due to the response time (around 5 seconds) of the vascular response. Between 5-10 seconds following the end of the stimulus there is a post-stimulus undershoot in HbO_2 .

1.4.2 Types of near infrared instrumentation

NIR instrumentation can be separated into spectroscopy, topography and tomography according to their sources and detectors configuration, and into continuous wave (CW) systems, frequency-resolved (FR) systems and time domain systems depending on what information is recorded. All of them rely on the changes of NIR light absorbance by the tissues' chromophores but due to the fact that scattering is the dominant photon-matter interaction, they all belong in the diffuse optical imaging (DOI) category.

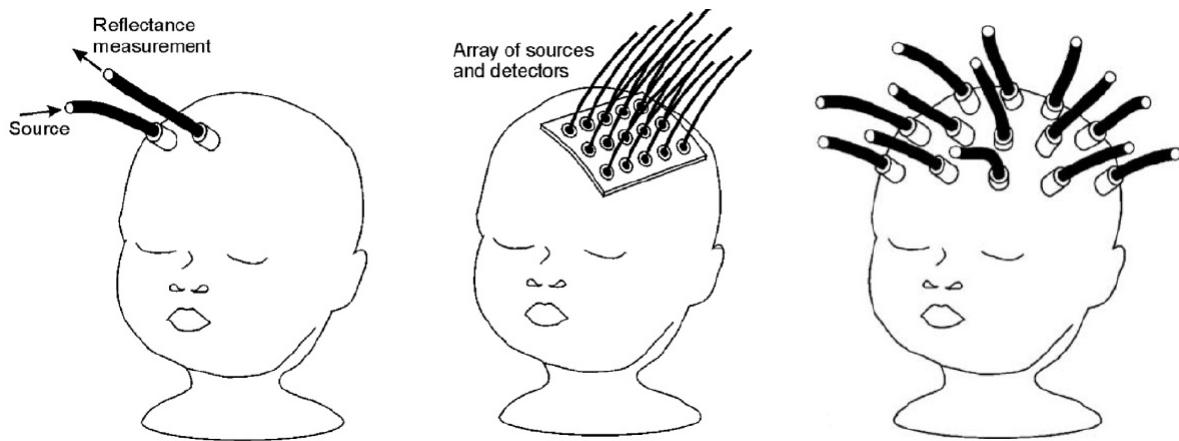


Figure 1.9: From left to right: NIR spectroscopy, topography and tomography. Figure courtesy of J C Hebden.

1.4.2.1 Spectroscopy, topography and tomography

Spectroscopy, usually referred to as NIRS, only relates information acquired from one source and one detector. Even when multi-channels are used, a series of one source to one detector spectroscopic measurements are obtained. The light that reaches the detector is diffusively reflected by the tissue. Topography is based on the use of a planar array of sources and detectors that measures the diffusively reflected light present underneath it. The topographic maps obtained carry some information to produce 3D image but with a limited depth. Unlike the two previous modalities, optical tomography requires direct transmission of light so that a full 3D image can be generated. For this to be possible, source and detectors have to be positioned opposite one another, so that the light can cross the whole tissue. On the head and until now, this technique is mostly applied on babies because they allow for a reasonable source-detector distance. The differences between these 3 techniques are illustrated in figure 1.9.

1.4.2.2 Continuous wave systems

In CW systems, light is emitted at a constant intensity and the differences between measured attenuations are recorded. All measured changes are recognized as changes in absorption because since it is not possible to study the effects of scattering with CW systems, this effect is considered constant.

One disadvantage of a CW system is that, for a suitably brief acquisition time, it only get signals coming from areas directly underneath the detectors. The depth of reach of the CW signal is proportional to the distance between the source and detector: a source-detector pair separated by a distance d will only be sensitive to a depth of approximately $d/2$, although this value varies with the datatype and is valid for systems other than CW. [1] The majority of continuous wave systems employs avalanche photodiodes (APD)s as the light detector and typically operates with source detector separations of between 15 and 45 mm. This distance is large enough to have a good chance of sampling brain tissue, but small enough to allow a suitable amount of light to be detected. However, larger

source-detector separations can be used if more sensitive and more expensive detecting systems, such as PMTs, are adopted.

Another disadvantage is that because the only light property studied with CW systems is intensity, the results are very sensitive to any change in the contact with the scalp, such as a filament of hair or the amount of pressure against the skin. This can result in very large changes in the light intensity measurements. This problem is avoided by assuming that such variables remain approximately constant at least during a given experiment, and by doing difference imaging.

The majority of commercial NIRS and many optical topography systems are CW. These systems have the advantage of being simple, inexpensive and able to provide a good temporal resolution.

1.4.2.3 Frequency-resolved systems

As opposed to CW systems, it is possible to interrogate tissue using intensity-modulated light - these are called FR systems. These systems quantify the attenuation of light and the change in phase of the modulated light at the detector, by comparison with the source modulation. This principle is illustrated in figure 1.10.

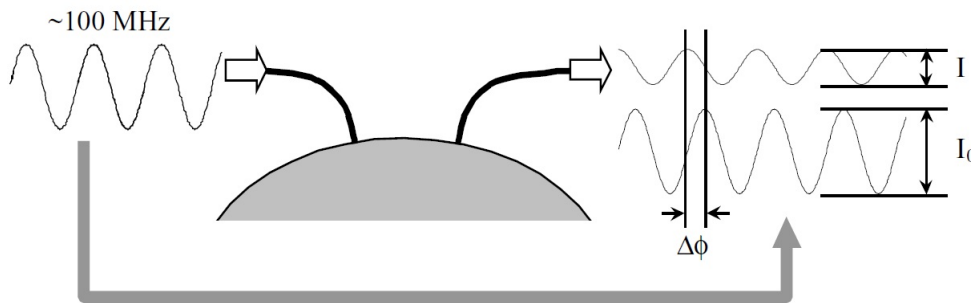


Figure 1.10: Representation of a frequency domain NIRS measurement, where the drop in intensity and the change in phase of diffusely reflected, frequency-modulated NIR light are measured. Figure courtesy of J C Hebden.

The phase shift information allows us to measure the actual optical path length (see Dx in equation (1.7)) continuously. Therefore it is not necessary to estimate the DPF and this removes a significant source of error from measurements of absorption coefficient. By comparing the measurements of attenuation and phase with predictions of suitable models of light transport in tissue, one can separate the effects for absorption and scattering, something that is not possible to achieve with CW systems. Frequency domain systems have been designed to perform NIRS, optical topography and optical tomography.

1.4.2.4 Time-resolved systems

Time-resolved systems are the most complete systems out of the three, as the detection of the temporal distribution of photons as they leave the tissue provides more accurate information about tissue absorption and scattering information. They measure the temporal point spread function (TPSF). The tissue is illuminated with a short duration (a few

picoseconds) pulse of NIR light. Due to the effect of scattering on the path of the photons, this NIR pulse is broadened from a few picoseconds to several nanoseconds. The system measures the time of flight of the emerging photons and the information about the time taken for individual photons to traverse the volume of tissue from source to detector is represented in a histogram - the TPSF. [17] Each TPSF corresponds to a distinct source-detector pair. This function contains more information than what is obtained from FR systems. When integrating a TPSF, one gets intensity information equivalent to a CW measurement; when doing the Fourier transform, one gets a continuous spectrum of amplitude and phase shift data where each point is equivalent to a single frequency domain measurement (thus not depending on the computation of DPF); by applying the modified Beer-Lambert law, one gets information on absorption and scattering coefficients changes. Figure 1.11 illustrates how changes in optical properties of a volume of tissue will affect the resultant TPSF.

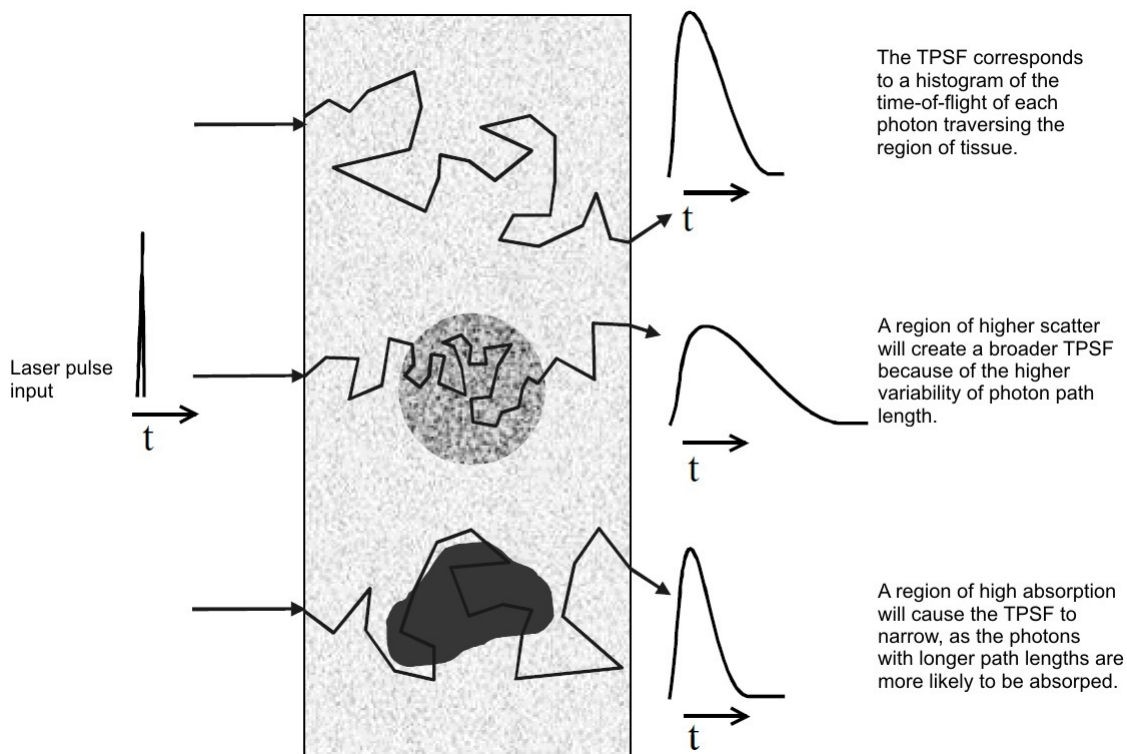


Figure 1.11: A summary of how the optical characteristics of tissue affect the TPSF recorded in time-domain imaging systems. Figure courtesy of J C Hebden.

Comparing with CW and FR systems, time-resolved have the advantage of increased penetration depth and a most accurate separation of absorption and scattering effects. On the other hand, the size of the instrument, its cost and the stability and cooling issues are the downside of time-resolved systems.

Time-resolved systems have been used in the past two decades to study a broad range of conditions. While it has been applied to the breast and to the muscle, most of its applications have been done on the brain. Optical imaging has been used to study neonatal

seizure, schizophrenia, and depression, and to map functions of the brain, such as the motor and visual cortex.

In general, NIR imaging equipment is portable, discreet and relatively robust to motion artefact, enabling some motor experiments that can't be conducted with other imaging techniques. Recently, other chromophores involved in brain activation, such as cytochrome-c-oxidase have been a research target.

1.4.2.5 Comparison with other imaging techniques

The comparison of spatial and temporal sensitivity of positron emission tomography (PET), single positron emission computed tomography (SPECT), magnetoencephalography (MEG), functional magnetic resonance imaging (fMRI) and event-triggered EEG (also called event related potentials (ERP)s) is presented of figure 1.12.

It is clear that MEG and ERPs are strong in temporal sensitivity but relatively weak in terms of spatial sensitivity. In contrast, fMRI, PET and SPECT are stronger in spatial sensitivity but weak in terms of temporal resolution. DOI, in comparison, can provide excellent temporal sensitivity as well as reasonable spatial sensitivity. In contrast, fMRI, PET and SPECT are stronger in spatial sensitivity but weak in terms of temporal resolution. When comparing with DOI, we see that DOI can provide excellent temporal sensitivity as well as reasonable spatial sensitivity. [33] This explains why the emphasis of research in medical imaging with DOI has moved away from the pursuit of high spatial resolution and towards functional imaging. Another growing research area is the coupling of optical imaging with other imaging techniques such as MRI, MEG and EEG.

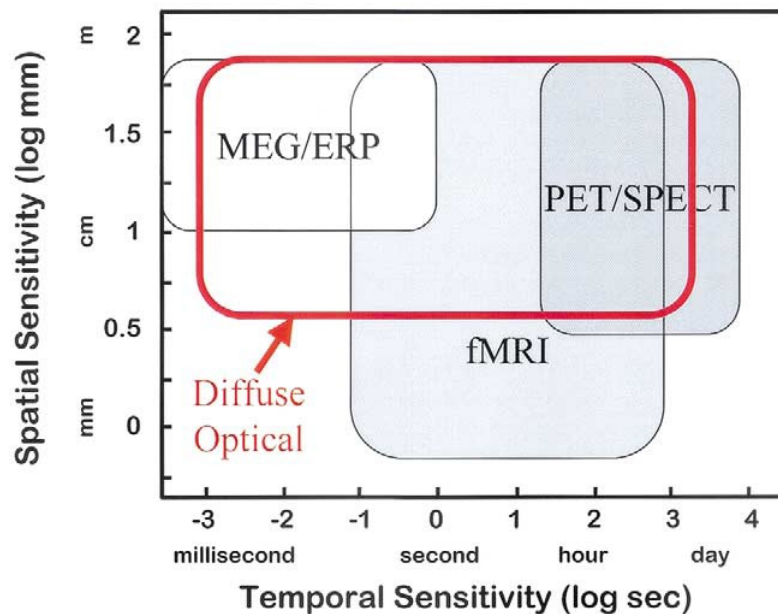


Figure 1.12: Comparison of the spatial sensitivity and temporal sensitivity of six non- or minimally-invasive neuroimaging methods. [33]



Figure 1.13: MONSTIR, a 32-channel system designed for optical tomography of the newborn infant brain

Experiments with adults suggests that the characteristics of the vascular response measured by NIRS are comparable to the blood oxygen level dependence (BOLD) response seen in fMRI. It is important to note that unlike fMRI BOLD, functional NIRS provides a separate measure of quantified changes in both HbO₂ and HHb.

1.4.3 MONSTIR

The work described in this thesis was performed using a time-resolved tomography system available at the BORL at UCL. This device is called MONSTIR, it is the second generation system and is displayed in figure 1.13. It provides temporal measurements of photons transmitted diffusively through the tissue, enabling the reconstruction of internal distributions of absorption and scattering properties. It is the second version of a device that was designed to be portable, relatively robust to motion artefact and to continuously monitor premature babies' brains for obtaining optical images at bedside. These babies are at an increased risk of suffering permanent brain damage due to dysfunction in cerebral oxygenation. However, this version is still being studied and optimized before being used in a clinical environment. A schematic diagram is shown in figure 1.14.

The source of laser light is a Fianium supercontinuum laser. Supercontinuum light can be best described as 'broad as a lamp, bright as a laser'. Incandescent and fluorescent lamps, such as those made from tungsten halogens, provide a very broad spectrum (400

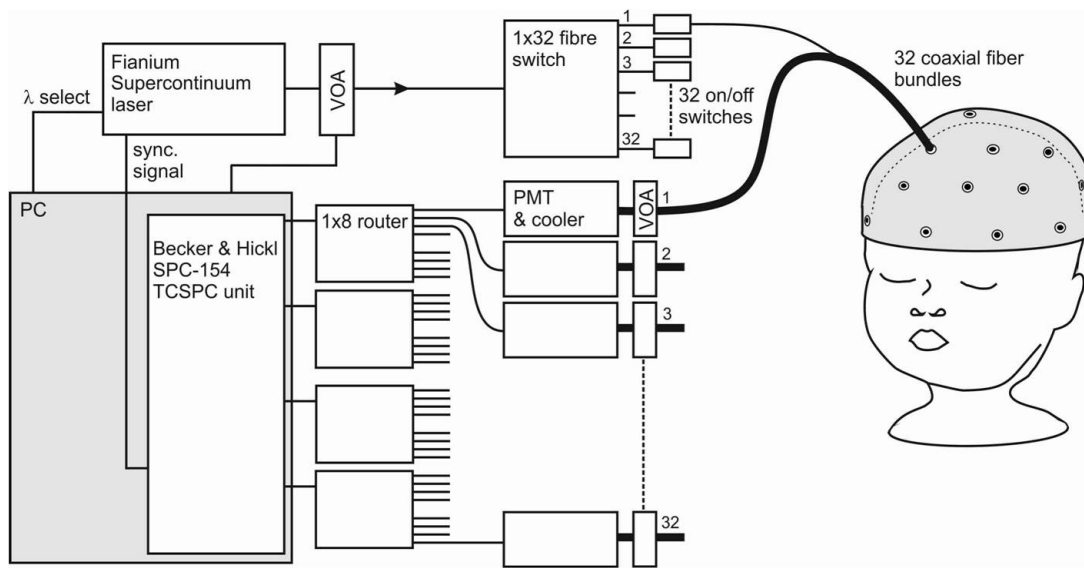


Figure 1.14: Schematic functioning of MONSTIR. Figure courtesy of J C Hebden.

nm to 1700 nm) but the intensity is limited. Furthermore, as the light is not spatially coherent, coupling the light into a fibre would be challenging, resulting in a low-power, low-brightness source with mediocre beam quality. Lasers on the other hand have high spatial coherence and very high brightness, which enables optimum coupling to a fibre and outstanding single-mode beam quality. However, lasers are usually monochromatic, and thus if more than one wavelength is required extra lasers are necessary to cover a broad spectrum. A supercontinuum source bridges this gap, providing an ultrabroadband white-light spectrum but with the brightness of a laser and as high energy picosecond pulses. Figure 1.16 shows the broad emission spectrum that can be achieved. [26] The supercontinuum laser is controlled by a computer where it is possible to select up to four wavelengths within 600 and 1100 nm to be emitted in the same experiment.

This selection is performed by the acousto-optical tunable filters (AOTF)s, which functions as an electronically tunable bandpass filter to simultaneously modulate the intensity and wavelength of laser light. Devices of this type rely on a crystal whose optical properties vary upon interaction with an acoustic wave. Changes in the acoustic frequency applied to the AOTF transducer alter the diffraction properties of the crystal to control the transmitted wavelength. Changes in the amplitude level applied to the transducer control, the transmitted light intensity level. In short, AOTFs enable very rapid intensity and wavelength tuning (several μ seconds), limited only by the acoustic transit time across the crystal. [14] The light path through the two AOTFs is described in figure 1.15.

A reference pulse is split off the laser beam with a beamsplitter and illuminates a fast photodiode. The resultant electronic pulses are sent to the time correlated single photon counting (TCSPC) unit and will be compared with the measured signal.

The main part of the laser beam goes through a neutral density filter that attenuates the light to the desired level - it is indicated in figure 1.14 as variable optical attenuator (VOA). The amount of light which can be coupled into the scalp is limited for safety reasons, and most NIR systems maintain an output intensity below that of the eye safety limit (10 W/m^2 for NIR wavelengths in continuous operation - British Standard EN 60825-1:2007).

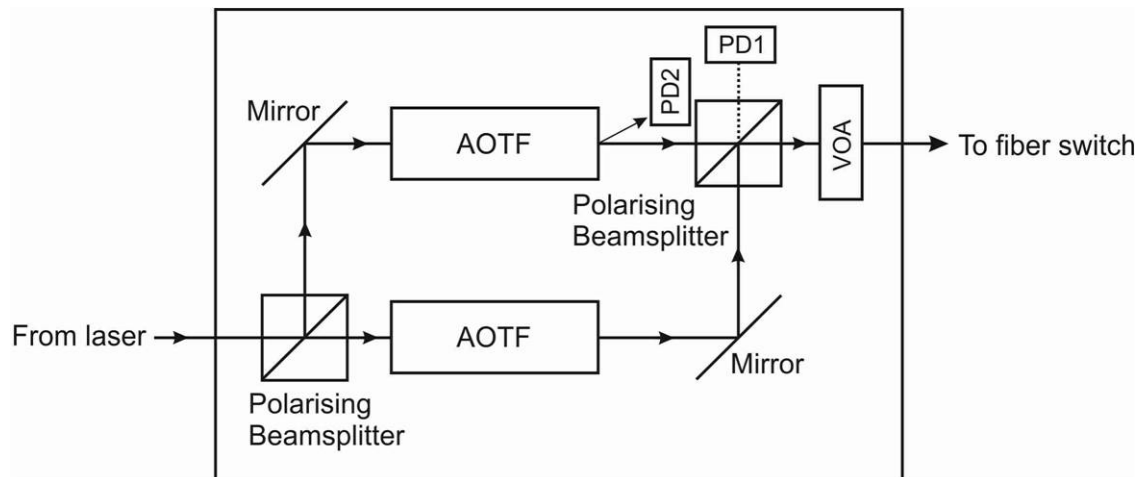


Figure 1.15: Schematic diagram of the output optics of MONSTIR. Figure courtesy of J C Hebden.

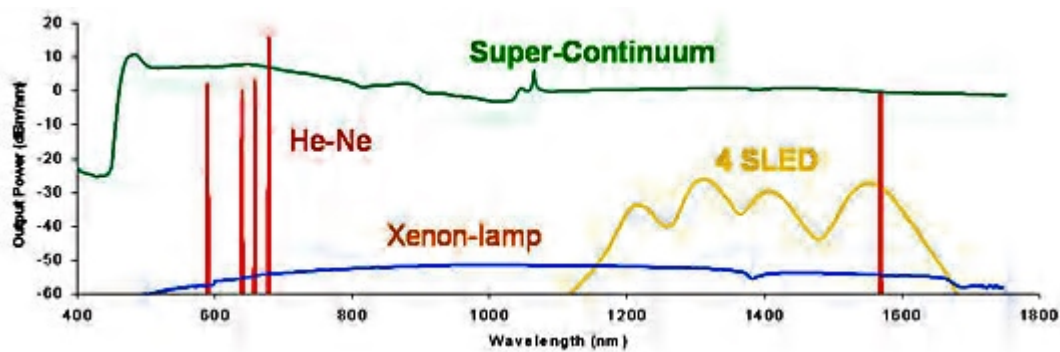


Figure 1.16: Comparison of the output power of supercontinuum lasers, Helium-Neon lasers, Xenon lamps and superluminescent LEDs. [26]

[9] The laser beam then passes through an electronically controlled shutter and a beam splitter, and is coupled into a 1x32 fibre switch. The light is distributed into the 32 source fibre bundles. These optical fibres are held in contact with the surface of the interrogated object. The end of each source fibre is common to the end of a detector fibre, but while each source is illuminated sequentially (we say the source is moved over the object), all the detectors are simultaneously collecting the photons that exit the object. This co-axial arrangement of the source fibre within the detector bundle decreases the number of connectors to the interrogated structure. The photons then travel through the detector fibre bundles and are forwarded to the 32 photomultiplier tubes (PMT)s. However, since there is a large variation in source-detector fibre separation there is a correspondingly very large range of intensities of light emerging from the tissue surface and going to the PMTs. Due to the risk of saturating or damaging the PMTs, a set of 32 VOAs ensures that the light reaching the PMTs is not too intense. The PMTs amplify the current produced by the incident light, which then reaches the 32 independent TCSPC units. The TCSPC units measure the photon flight times and the delay between the measured signal and the reference one (received directly via the laser). Thus histograms of photon flight times (TPSFs) are gradually built and read out by the control PC. The full set of TPSFs - up to 1024 TPSFs when using 32 sources and 32 detectors - represents the raw data that is used for the reconstruction of absorption and scattering maps.

The process of image reconstruction is explored in section 1.5.

1.4.4 10-20 system

When setting up the experiment, the location of the optodes is done according to the 10-20 system, which is an internationally standardized system usually employed in EEG. This method is based on the relationship between the location of an electrode and the underlying area of cerebral cortex, and was developed to ensure standardized reproducibility so that a subject's study could be compared over time, and subjects could be compared to each other. In this system, EEG electrodes are located on the surface of the scalp as shown in figure 1.17 (21 electrodes are shown, though a higher or lower number can be used). There are two reference points: the nasion, which is the indent between the forehead and the nose; and the inion, which is the bony lump on the midline at the back of the head. From these points, the electrode are located in the transverse and median planes, which are divided into 10% and 20% intervals. [20] Each site has a letter to identify the lobe (there is no central lobe but the "C" letter is used for identification purposes). Even numbers (2,4,6,8) refer to electrode positions on the right hemisphere, whereas odd numbers (1,3,5,7) refer to those on the left hemisphere. Letter "z" (zero) refers to the electrodes placed on the midline.

1.5 Image reconstruction

In time-resolved systems we perform a finite set of measurements of the light properties in order to reconstruct 2D or even 3D images of the distribution of internal scatterers and absorbers. The measured changes in intensity, phase and amplitude (datatypes extracted from the TPSFs) are caused by a change in the optical properties of the tissue. This change

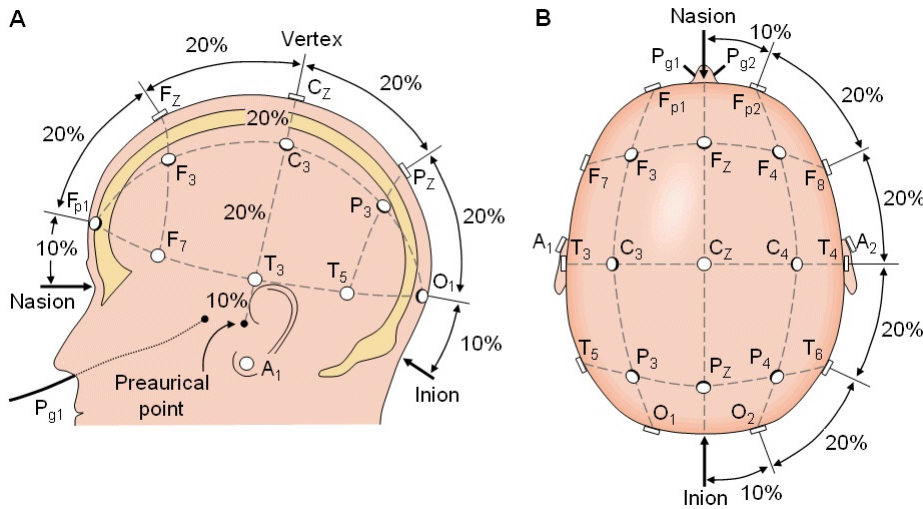


Figure 1.17: The international 10-20 system seen from (A) left and (B) above the head. A = Ear lobe, C = central, Pg = nasopharyngeal, P = parietal, F = frontal, Fp = frontal polar, O = occipital. [20]

in the optical properties (absorption, scattering), which is what we want to know, is due to some activation in the neurons of the interrogated volume. The steps of optical imaging reconstruction are the modelling of light transport in tissue, the solving of a forward problem (which results in a sensitivity matrix) and the solving of an inverse problem.

The model of the photons behaviour in tissue relies on the diffusion equation derived from the radiative transport equation. If we have a model to predict the distribution of light in the interrogated object, what change in the data (TPSF) do we get for a given change in the internal optical properties ?

This is the forward problem, which consists in simulating the measurements from the model and generating a sensitivity matrix J that relates the measurements to the internal optical properties. In order to solve forward problems for complex geometries, numerical modelling techniques such as finite-element method (FEM) are needed.

$$\Delta I = J * \Delta x \quad (1.12)$$

The linear equation (1.12) assumes that any variation in optical properties is small and is related linearly to the change in measurement. It represents the following: we measure the change ΔI in a specific channel, we modelled J and we want to know Δx which is the change in optical properties of the correspondent tissue. Therefore J has to be inverted, and this consists in the inverse problem of optical imaging reconstruction.

The software Temporal Optical Absorption and Scattering Tomography (TOAST) developed at UCL was used for the FEM and to produce the sensitivity matrix (i.e. model where the light has gone and how a change in optical properties affects the change in intensity or mean time or whatever).

Also, in order to increase image quality, the practical aspects of the experiment need to be optimized, such as the maximisation of the number of source-detector pairs (channels) per unit surface area; the arrangement of these channels so that the number of channels sampling a given volume of tissue is optimum maximize the variety of source-detector

separations to increase the range of depths for which meaningful images can be reconstructed. [9]

1.6 Wavelength choice

The choice of wavelengths to use for NIR studies is a complex one. As explained in section 1.2.2.2, the NIR window used for tissue optics is bounded roughly between 650 and 900 nm. At lower wavelengths, absorption by haemoglobin limits penetration in tissue, while at higher wavelengths, absorption by water dominates. NIRS studies of the brain have typically employed wavelengths either side of the isobestic point of haemoglobin (800 nm), where the specific extinction coefficients of HbO and HHb are equal. The actual wavelengths used for a given study are usually determined for the particular experiment and are often dictated by the availability of appropriate light sources. [10] However, this choice shouldn't be arbitrary, as events such as cross-talk and low separation between absorption and scattering will not only reduce the quantitative accuracy with which the changes can be determined but also change the shape of the timecourse of the signal. [35]

Recently, Yamashita et al. (2001), Strangman et al. (2003) and Uludag et al. (2004) have shown experimentally and theoretically that a pair of wavelengths at 660-760 nm and 830 nm provides superior separation between HHb and HbO than the more commonly used 780 and 830 nm.

S. Lloyd-Fox et al. (2010) reviewed 36 experiments from which 27 used 830 nm as one of the wavelengths - others were very close to this value, such as 825 and 828 nm. From these 27 experiments, 17 used a second wavelength equal or superior to 780 while the other 8 turned to wavelengths equal or lower than 760 nm. Looking at the temporal distribution of the experiments, they were all published since 1998 but it is only after 2004 (that is, between one and four years after the publication of the papers mentioned above) that wavelengths below 760 nm started to be used, demonstrating the impact of this kind of wavelength analysis. In the same S. Lloyd-Fox et al. review, of the 6 experiments using 4 wavelengths, the wavelengths were 775 nm, between 805 and 825 nm, 850 nm and between 905 and 910 nm.

Correia et al. (2010) did a systematic evaluation of the optimal wavelengths for NIR imaging to determine the wavelengths with the least influence of noise, the maximisation of absorption and scattering separation and sampling of the same volume of tissue. When looking for a combination of four wavelengths, these three conditions led to the choice $680 \pm 5\text{nm}$, $715 \pm 14\text{nm}$, $733 \pm 7\text{nm}$ and $828 \pm 9\text{nm}$.

Chapter 2

The experiment

2.1 Goal of the experiment

The present chapter describes the experiment that was performed to empirically determine the best set of 4 wavelengths to use when imaging the brain. This experiment was designed considering the performance characteristics of MONSTIR (the optical imaging device employed), which are described in section 1.4.3. In short, we require at least two measurements because we wish to perform differential imaging, and we use at least two wavelengths because we study two chromophores (in this case, oxyhaemoglobin and deoxyhaemoglobin). MONSTIR brings the possibility of recording data at 4 wavelengths simultaneously. This can prove to be useful for several reasons, such as because over-sampling is always useful and because we get to study other chromophores such as the background chromophores. In fact, fat and water concentrations are usually wrongly assumed to be uniform throughout the tissue volume, although they are not expected to vary with time. The part of the brain imaged for this wavelength analysis is the motor cortex, as it is close to the scalp (i.e. within reach of the optical measurement depth) and its stimulus is easy to perform.

2.2 Array design and construction

The main concerns when designing an array are the size of the area of the head that we need to cover, the number of fibres needed, the distance between the fibres, and the comfort for the wearer of the array. Because we want to image the brain of an adult, it is not feasible to perform full-brain tomography of the adult brain because this requires measurements across the entire width of the head, which not produce measurable signals. Instead, optical topography is performed, which doesn't preclude 3D images from being reconstructed. The experiment was designed to measure activity in the motor cortex of the left hemisphere, over a region about 7 or 8 cm in length. Since the imaged area are the tissues located immediately below the array, the dimensions of the array should similar to the dimensions of the area of interest. The minimum distance between two adjacent fibres should be at least 2 cm to prevent too much light from being detected and damaging the PMTs. Knowing this minimum distance, it is important to maximise the number of channels per unit surface area.

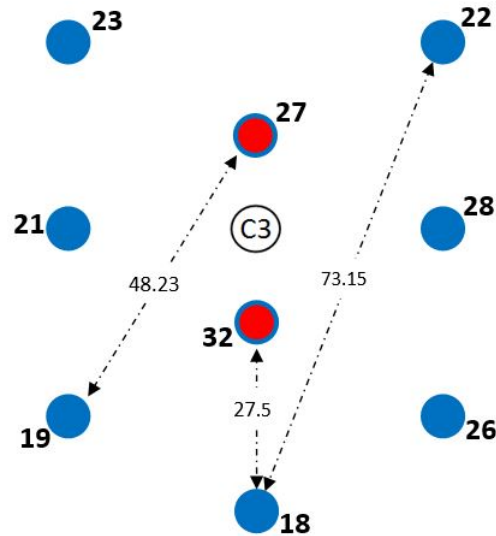


Figure 2.1: Array design. C3 is the center of the motor cortex. Red dots are the source fibres (which also work as detectors) and blue dots are the detectors. The distance between fibres is in millimetres.

The array employed for this experiment had 9 channels and is illustrated in figure 2.1. The smallest source-detector distance is 27.50 mm and the largest is 73.15 mm. As explained in the end of section 1.5, this variety of source-detector separations is important. Using the 10-20 system, the center of the motor cortex is C3 (see figure 1.17A). This point is the center of the fibres arrangement and is the reference to place the array on the head. The source fibres were positioned both above and under C3, making the array vertically symmetric. As planned, when tracing the path between each source and every detector, we see that the same volume of tissue is sampled more than once by different channels.

The array is based on a commercial EEG cap. It is cheap, comfortable and adaptable for any adult subject; the main points of the 10-20 system are marked on the cap. With the cap on the subject, the dots of figure 2.1 were marked on the cap and then perforated - see figure 2.2A. Due to the shape of the fibre tips, it was necessary to design a customised connector to secure the MONSTIR optical fibres on to the EEG cap, and ensure they remain in the correct position. Thus 9 connectors, as shown in figure 2.3, were 3D printed in two parts. The printed material was optically transparent and therefore the connectors were painted black to prevent light leakage. A prism was inserted into each connector to deflect the light 90 degrees on the head surface. This prism is seen on figure 2.3 and was glued to the sockets. After gluing the halves together, the socket has one end where the fibre fits, and an other circular end that clamps the cap tissue. A small hole was drilled on the side of the socket so that a screw would press the fibre inside the socket.

2.3 Experiment design

Since we are doing difference imaging, it is necessary to measure brain activity during rest and during stimulus. The stimulus consists of a simple finger-tapping task of touching the

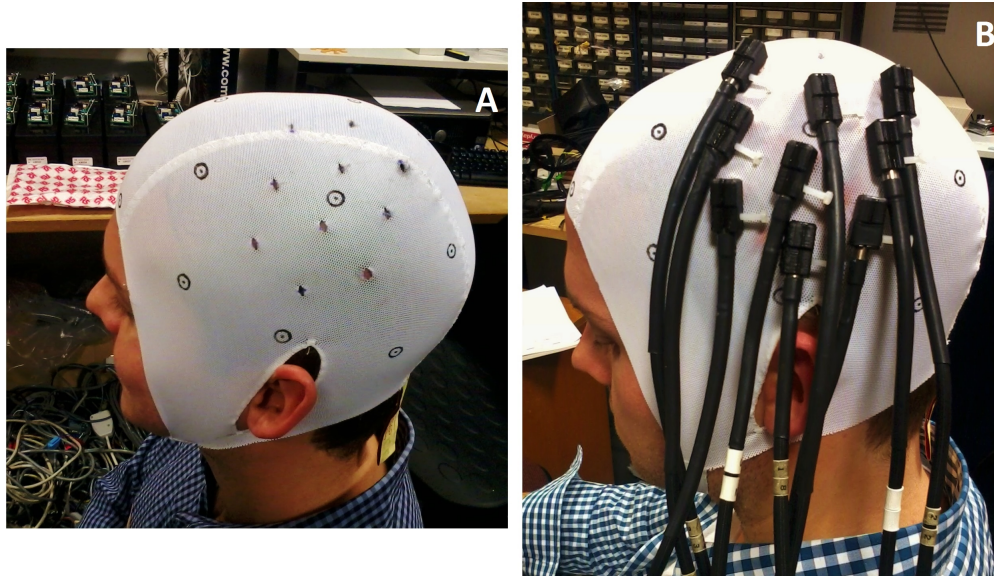


Figure 2.2: Cap on subject. A: after the cap was pierced on the optical fibres placements. B: with the optical fibres placed.

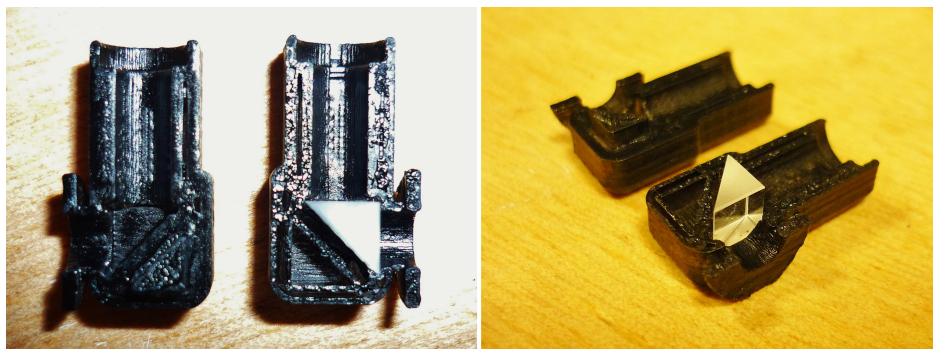


Figure 2.3: Two sockets; one of the halves contains a prism.

thumb and each of the fingers of the right hand (which is contralateral to the side of the head studied) sequentially. This task is repeated several times (i.e., it is interrupted with pauses) while maintaining the same conditions in order to improve signal-to-noise ratio, but is also repeated using different sets of wavelengths so that the purpose of the experiment is fulfilled. Figure 2.4 illustrates one single acquisition or one run of the experiment and will be described in the following paragraphs.

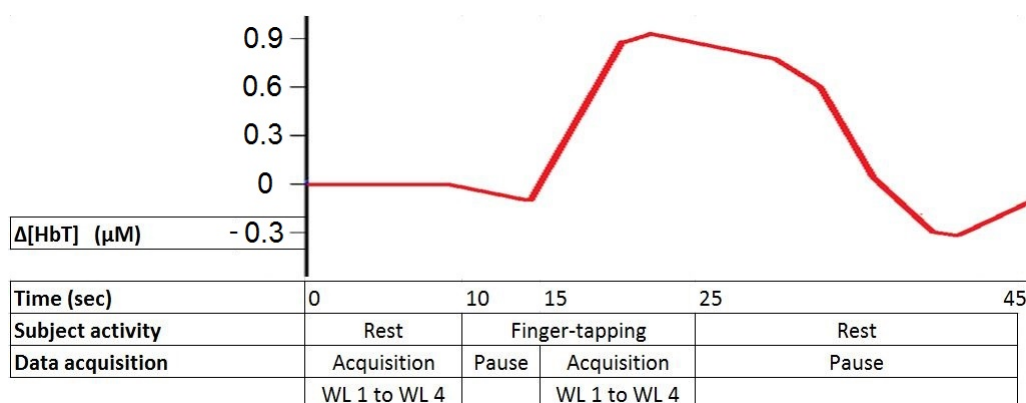


Figure 2.4: Temporal scheme of one acquisition of the experiment

In the perspective of the subject, one run of the experiment consists of 10 seconds of rest followed by approximately 15 seconds of finger-tapping and 20 seconds rest. The transitions from rest to movement and movement to rest are signalled by the person who is responsible for the experiment. The several runs consist of a cyclic repetition of the process described, therefore the two rest stages get merged and the subject ends up resting for approximately 30 seconds.

The rest data is acquired during the 10 seconds of the first rest stage. Then the subject starts finger-tapping and no data is acquired during approximately 5 seconds. This gives time for the vascular response to develop and reach its maximum, but also allows the person performing the experiment to save the rest data that was just acquired. Finger-tapping data is then acquired for 10 seconds, followed by a pause in finger-tapping and in data acquisition. The second stage of rest is necessary for saving the stimulus data and is crucial for the vascular response to go back to baseline so that it can be newly acquired in the next repetition. Between 0 and 5 seconds of rest are randomly added to this period so that the delay time between acquisitions varies every time. This jiggling thus prevents natural periodic oscillations in the chromophores being interpreted as an evoked activation.

The full data acquisition consists on the repetition of this single acquisition with some changes made to the sources' order and the wavelengths used. The array of fibre bundles covering the motor cortex area has nine bundles, and each of them can work both as a source and as a detector. The chosen sources illuminate one at a time while all the remaining fibres serve as detectors. When one fibre is being illuminated (i.e. serving as a source) its corresponding detector is blocked by the VOA, to prevent the PMT being over-exposed (and potentially damaged) by the large amount of back-reflected light. Therefore if we are using nine bundles, the number of detectors working simultaneously is eight. Since the sources work only one at a time, the number of sources used is the main factor

that influences the time length of the experiment. One main concern when designing the experiment is to make it as short as possible the volunteer won't become fatigued or become habituated to the stimulus. For this reason, only two sources (A and B) were used. When source A is illuminating, source B works as a detector jointly with all the other fibres.

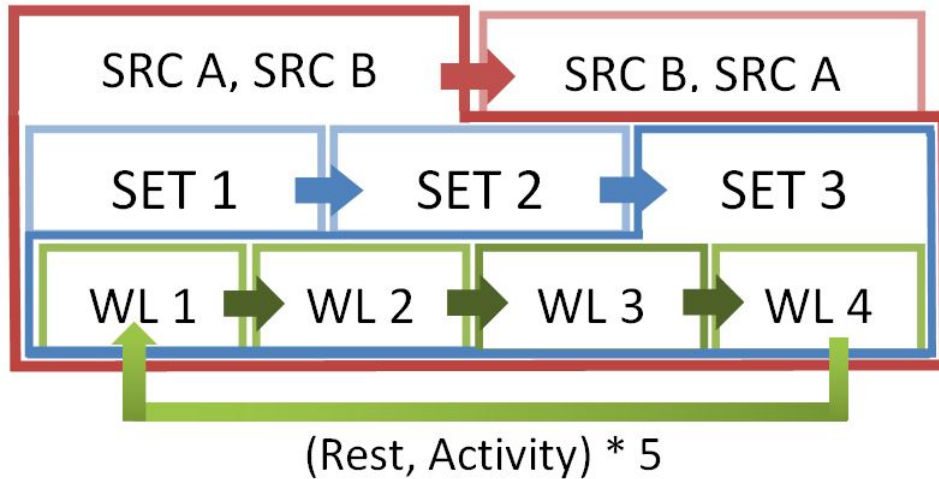


Figure 2.5: Scheme of full data acquisition

The following lines will explain the full experiment, with the help of the scheme shown on figure 2.5. During the two stages of data acquisition (rest and finger-tapping), each one lasting approximately 10 seconds, 4 different wavelengths illuminate the subject's head. This process is represented at the bottom of figure 2.5, in green, and after repeating the cycle depicted on figure 2.4 5 times, a new set of 4 wavelengths is used to measure the rest and activity states 5 times. In total 3 sets of 4 wavelengths are used, as shown on the blue level of figure 2.5. These acquisitions are performed once with source A and then once with source B. To make sure that the source order doesn't influence the results, the same thing is applied for the opposite source order - this is shown in red on figure 2.5. The total experiment time is approximately 23 minutes.

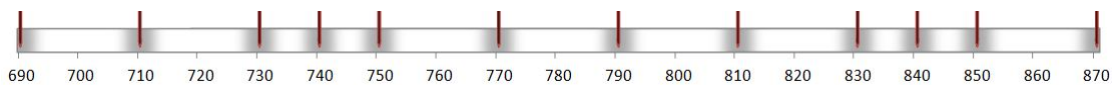


Figure 2.6: Wavelengths, in nanometers, used for data acquisition across the near-infrared range.

The 12 wavelengths marked on figure 2.6, within the NIR range, were tested. The lower bound of this interval (690 nm) is due to the very high absorption by haemoglobin at lower wavelengths, while the higher bound (870 nm) is due to the sensitivity of the PMT photocathode. When separating the 160 nm interval into 12 wavelengths, we decided to separate the wavelengths by 20 nm and pick two wavelengths that would be 10 nm apart. These two wavelengths are 740 and 830 nm, thus creating a greater density of tested wavelengths around the values that are usually employed for optical imaging experiments. This choice allows these "recurrent" wavelengths' efficacy to be tested. The distributions

of the wavelengths within the 3 sets (on table 2.1) was done so that every set would have low and high wavelengths. Another property of the light output that has to be decided is the bandwidth. A bandwidth of 4 nm was used, as this is the compromise between power output and wavelength precision.

Set 1	Set 2	Set 3
710	690	730
770	750	740
810	790	840
850	830	870

Table 2.1: Three sets of four wavelengths, in nanometres, used for data acquisition.

2.4 Additional information on the procedure

This section describes some details that were important for the procedure of the experiment due to the particular device, software or subject used.

2.4.1 Characterisation done before the experiment

The power outputs of all the available monstir fibres were measured - see top image in figure 2.7. The nine fibres with the higher power were chosen for the experiment. When turning MONSTIR on, the laser first needs to warm up for approximately 15 minutes in order to stabilise its output. The power of the laser beam (before getting split into the several fibres) was measured and the bottom image in figure 2.7.

2.4.2 Software

In terms of software, when setting up the experiment it is necessary to input the information on which fibres are going to be used, the source order, the wavelengths and the bandwidth of the light output. These choices are compiled by the software into an .adf file. Afterwards, a calibration is done in order to eliminate the noise caused by the broadening of the beam inside the fibres and inside the PMTs, and the possible drift of the TCSPC away from the zero value. This calibration consists on the measurement of the backreflection on a detector by irradiating the detector with its correspondent source. However, the calibration was not performed for the experiment described here.

Then, the VOAs position have to be automatically adjusted with USB-driven step motors according to the amount of light that is reaching the PMTs. This amount depends on the ambient light, the fibres arrangement on the interrogated volume and the properties of the interrogated tissue itself.

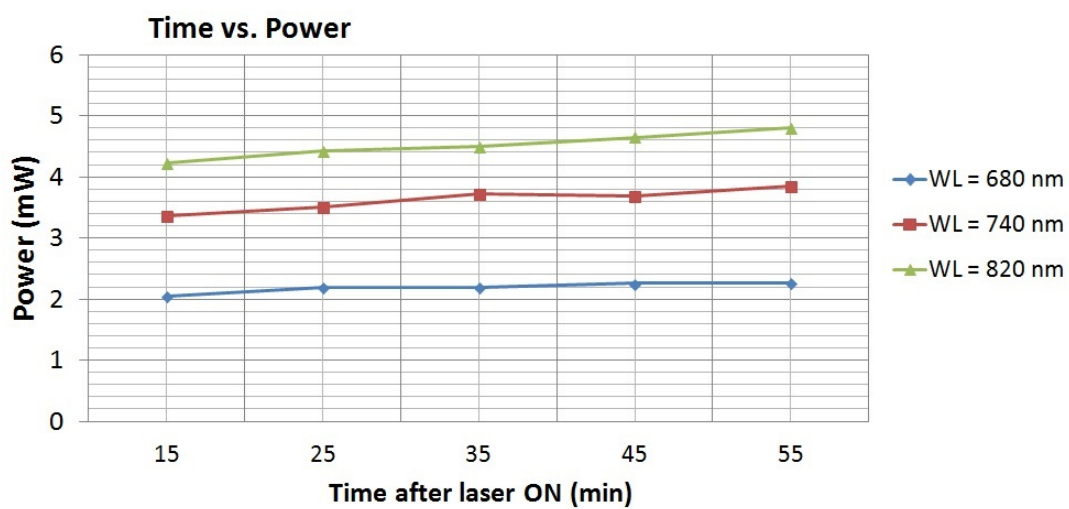
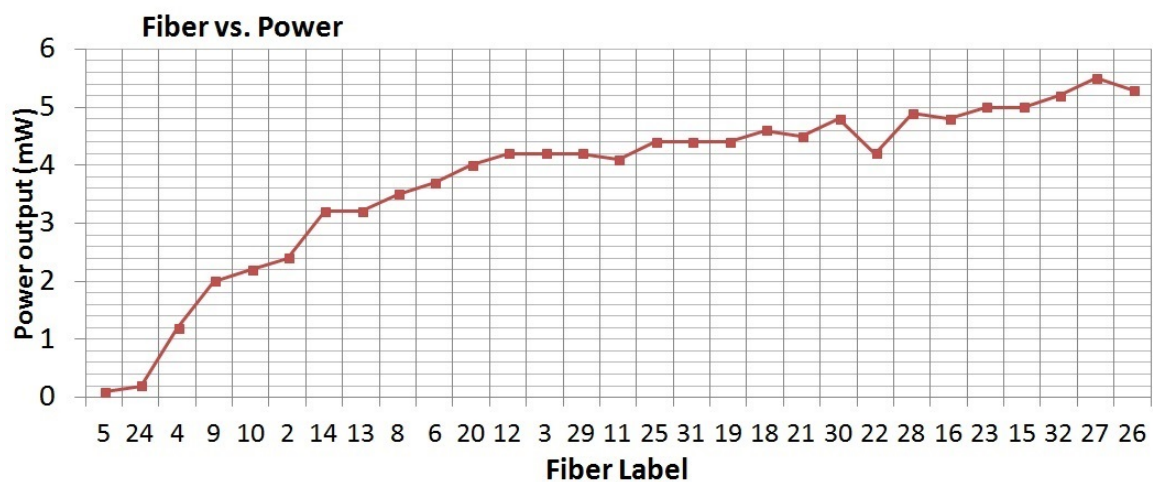


Figure 2.7: Power output of: Top - the different MONSTIR fibres; Bottom - the super-continuum laser after different warming times.

2.4.3 Placement of the cap

The placement of the cap and the sockets needs to be done carefully so that there is the minimum of hair (if possible, none) between the socket and the skin. The first step involves marking the fibre placements on the head with a marker while using C3 as the reference point. The hair surrounding these points is held away with hair wax. Then the perforated cap is put on, making sure that the cap holes are matching the marked dots on the skin. Afterwards the sockets are embedded in the cap tissue, the fibres are fitted in the sockets and the screw is tightened. The fibres arrangement along the array has to be written down, as this information is important for the processing of the data. The lights of the room are turned off to guarantee that ambient light doesn't reach the detectors. In addition to this, the array on the head is covered with a black cloth.

2.4.4 The subject

The subject of the experiment is a healthy adult, male and right-handed.

Chapter 3

Data analysis

3.1 Data processing

This chapter explains the processing of the data acquired during the described experiment. The raw data for this specific experiment consists of 3840 TPSFs. A t-test and an analysis of variance (ANOVA) is done to make sure that the rest TPSFs are statistically different from the active TPSFs, i.e. that the differences between them aren't due to chance variation. Then, a pre-processing of the data is conducted by a dedicated software that corrects the background noise and extracts the datatypes from the TPSFs. The datatypes are intensity, meantime, variance about the mean, phase and amplitude. A description of the pre-processing can be found in [18]. *(Hillman, 2000 - the question marks are because I'm still fixing the references on LaTeX)*

When constructing these datatypes, 1200 text files are created: one for each datatype (5), each tested wavelength (12), each run of the experiment (5 repetitions for each of the 2 source combinations, that is, 10), and each state of activity (rest and finger-tapping, 2). Each file contains 16 values, one for each source-detector combination (referred to as channel) - for instance, a file for intensity contains 16 intensity values obtained by integrating 16 different TPSFs. A way of testing the datatypes' information validity beforehand is to analyse the trend of intensity and meantime against fibre separation.

Three different methods for determining the most adequate combination of 4 wavelengths were explored and compared:

- A) Combination of wavelengths that created the largest chromophore concentration changes
- B) Combination of wavelengths that best fits to a theoretical model
- C) Combination of wavelengths that creates images closest to a gold-standard image

The general procedure for each method is described below, and is represented in the diagram of figure 3.1. However, the full details of how each step was conducted are in section 3.2 incorporated within the Results section.

A.1 The channel with best signal-to-noise ratio (SNR) was chosen by looking for the channel that showed the most significant intensity change.

A.2 For this channel, the changes in oxy and deoxyhemoglobin concentration

were computed using the modified Beer-Lambert law for each of the 495 possible combinations of 4 wavelengths.

A.3 The combination that yields the largest changes in both chromophores is considered to be the best set of wavelengths using this method.

B.1 The channel with best SNR was chosen by looking for the channel that showed the most significant intensity change.

B.2 Creation of a theoretical model that traces an intensity change curve that varies with the values of oxy and deoxyhaemoglobin concentration change. By varying these values, several theoretical curves were computed.

B.3 Looking for the theoretical curve that best fitted the intensity change curve measured experimentally. The oxy and deoxyhaemoglobin concentration change values that were used to generate this specific theoretical curve are recorded.

B.4 For this channel, the changes in oxy and deoxyhaemoglobin concentration were computed using the modified Beer-Lambert law for each of the 495 possible combinations of 4 wavelengths.

B.5 Looking for the oxy and deoxyhaemoglobin concentration changes from the modified Beer-Lambert law that were closest to the correspondent values employed in the theoretical model. The combination of 4 wavelengths that yields the least difference between these values are considered to be the best set of wavelengths using this method.

C.1 A gold-standard image is reconstructed, using all 12 wavelengths, for the datatypes amplitude and phase.

C.2 For the same datatypes, 495 images are reconstructed - one for each of the 495 possible combinations of 4 wavelengths - and each four-wavelength image is compared to the gold-standard image.

C.3 The four-wavelength image that led to the minimum root-mean-square deviation from the gold-standard image is considered to have been reconstructed using the best set of wavelengths.

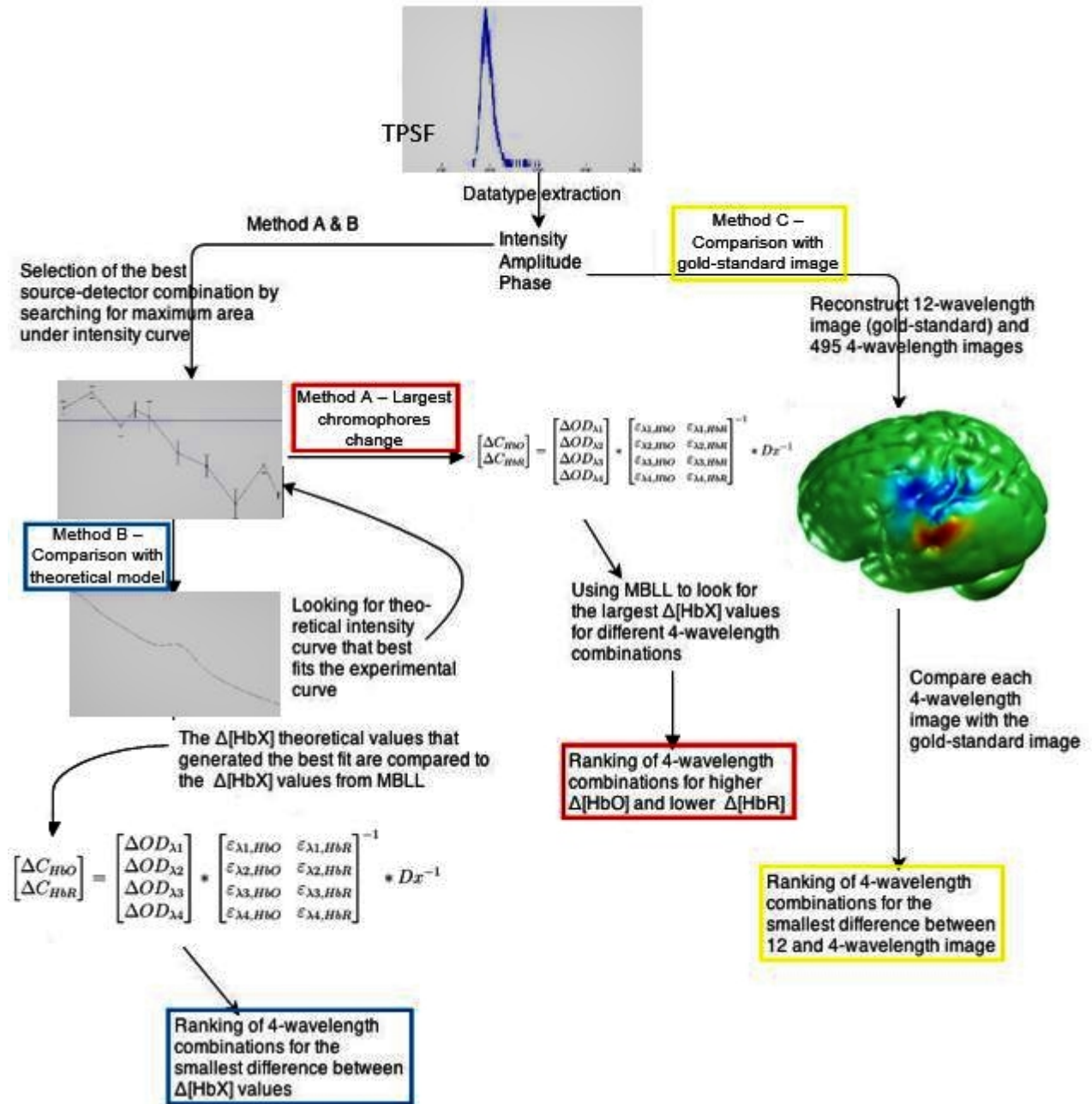


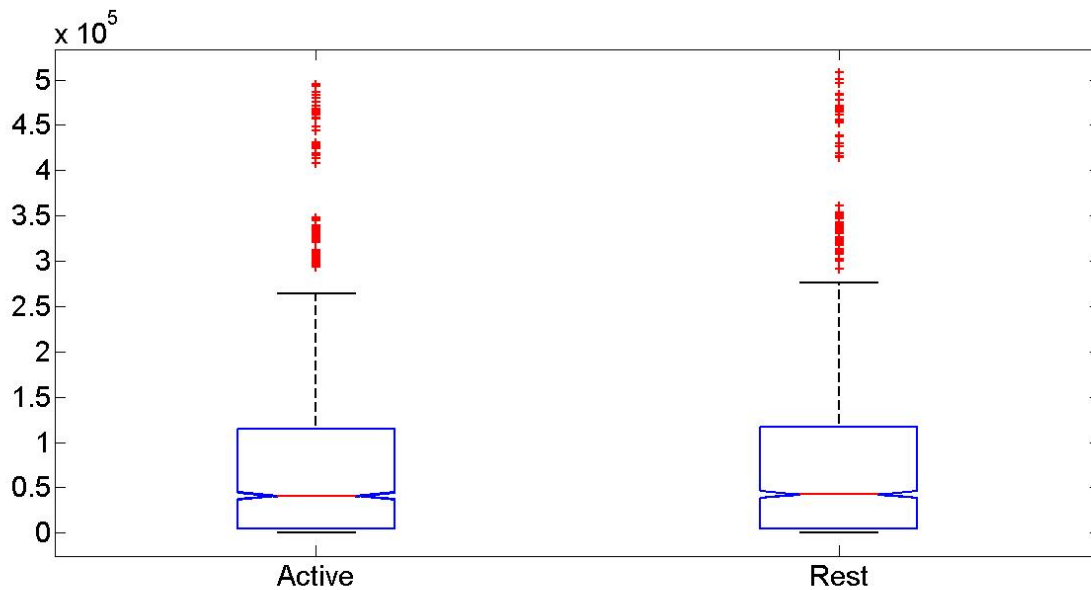
Figure 3.1: Diagram of the methods used to analyse the data. [HbX] stands for [HbO] and [HbR]; MBLL stands for modified Beer-Lambert law.

3.2 Results

3.2.1 Data validation

3.2.1.1 Statistical analysis of the raw data

The data that are needed for the statistical tests are the area under the curve of the active and rest TPSFs. In this manner, we are analysing the raw data but we know what to expect: as brain activity means higher chromophore concentration, there is more light absorption; therefore, more brain activity means less measured signal, so the area under the curve of an active TPSF should be lower than the area under a rest TPSF. The null hypothesis is that the finger-tapping stimulus had no effect on the chromophore concentration of the studied tissues. Using Matlab [21], the t-test and ANOVA were initially applied to the entire set of data regardless of the variation in the wavelengths and channels used, which consists of 1920 active TPSFs (half of the total) and 1920 rest TPSFs. The results of both tests (the ANOVA output is in table 3.1) was that the active and rest TPSFs had no statistically significant difference between them. The reason for this is represented in figure 3.2: the use of different wavelengths and channels brings a huge variation to the magnitude of the detected signal (area under the active TPSFs goes from 200 to 500.000).



Source	SS	df	MS	F	Prob>F
Columns	1.72386e+09	1	1.72386e+09	0.21	0.6441
Error	3.09902e+13	3838	8.07458e+09		
Total	3.09919e+13	3839			

Table 3.1: Result of the ANOVA test applied to the data acquired with all wavelengths and channels.

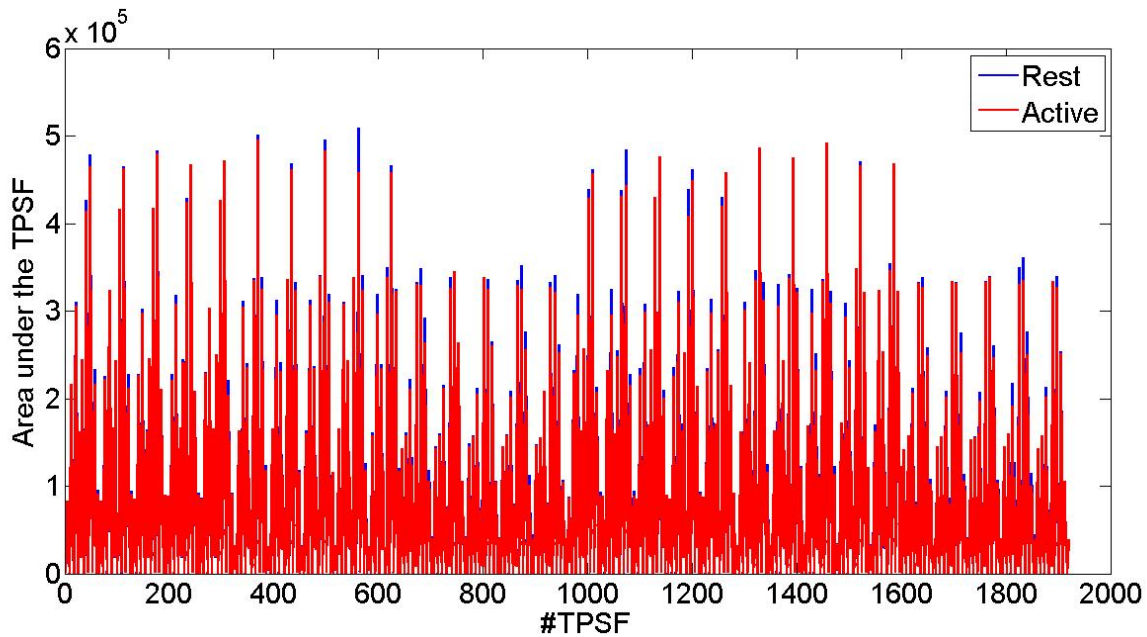


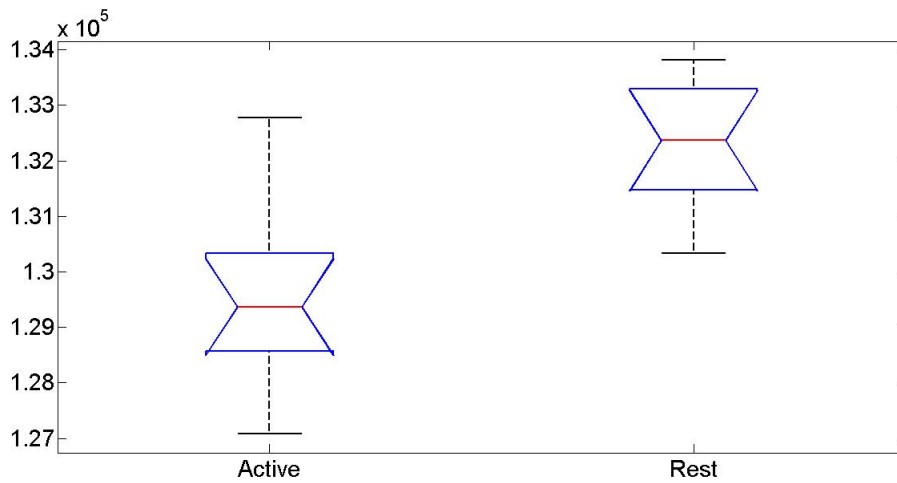
Figure 3.2: Comparison of active (blue) and rest (green) areas under the TPSFs; 1920 active and 1920 rest TPSFs were analysed.

The correct set of data to apply the statistical tests to is a set where all the conditions remain the same. This was done with a set where wavelength 770nm was measured 10 times with source 27 and detector 28, and with a set where wavelength 830nm was measured 10 times with source 32 and detector 18. The difference between rest and active values, which wasn't at all significant or observable for figure 3.2 is clear in figure 3.3. For both of the sets, the t-test rejected the null hypothesis and the ANOVA output (shown in table 3.2) gives the same conclusion. We can say that the differences between the active and rest data aren't the result of chance.

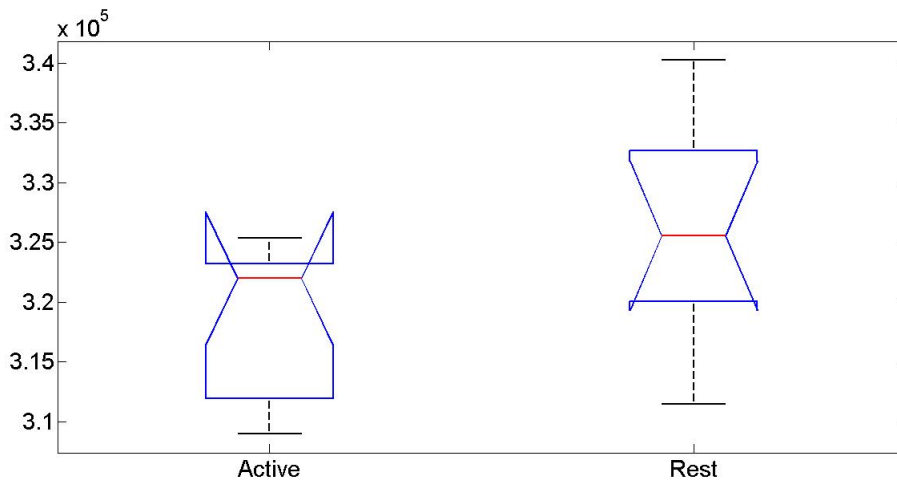
3.2.1.2 Analysis of the datatypes

In order to test the validity of the datatypes created with the pre-processing of the TPSFs, meantime and intensity can be plotted against fibre separation, i.e. the distance between the source and detector. The data is valid and meaningful if the intensity of measured light decreases with fiber distance, and the mean photon flight-time increases with fibre distance. The values of these two datatypes were averaged over 5 runs acquired during rest with a specific source and wavelength, and the result for each detector distance can be observed in figure 3.4. The increase of meantime and the decrease of intensity with the distance from the light source indicates that the data is valid and meaningful.

The following sections describe in detail each of the three data processing methods outlined in section 3.1.



Source	SS	df	MS	F	Prob>F
Columns	3.94468e+07	1	3.94468e+07	20.4	0.0003
Error	3.48124e+07	18	1.93402e+06		
Total	7.42592e+07	19			



Source	SS	df	MS	F	Prob>F
Columns	2.9155e+08	1	2.9155e+08	4.8	0.0419
Error	1.09416e+09	18	6.07866e+07		
Total	1.38571e+09	19			

Table 3.2: Result of the ANOVA test applied to data acquired with wavelength 770nm and channel 27-28 (top), and with wavelength 830nm and channel 32-18 (bottom).

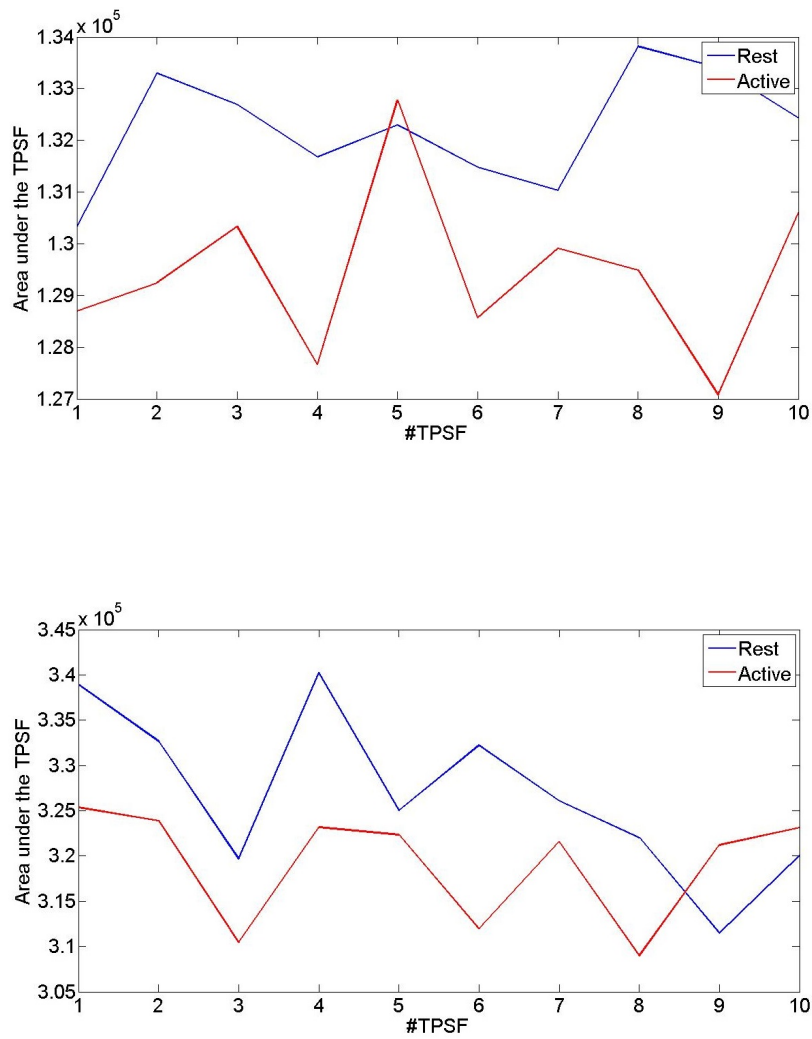


Figure 3.3: Comparison of active (blue) and rest (green) areas under the TPSFs; 10 active and 10 rest TPSFs were analysed. Top: wavelength 770nm and channel 27-28; bottom: wavelength 830nm and channel 32-18.

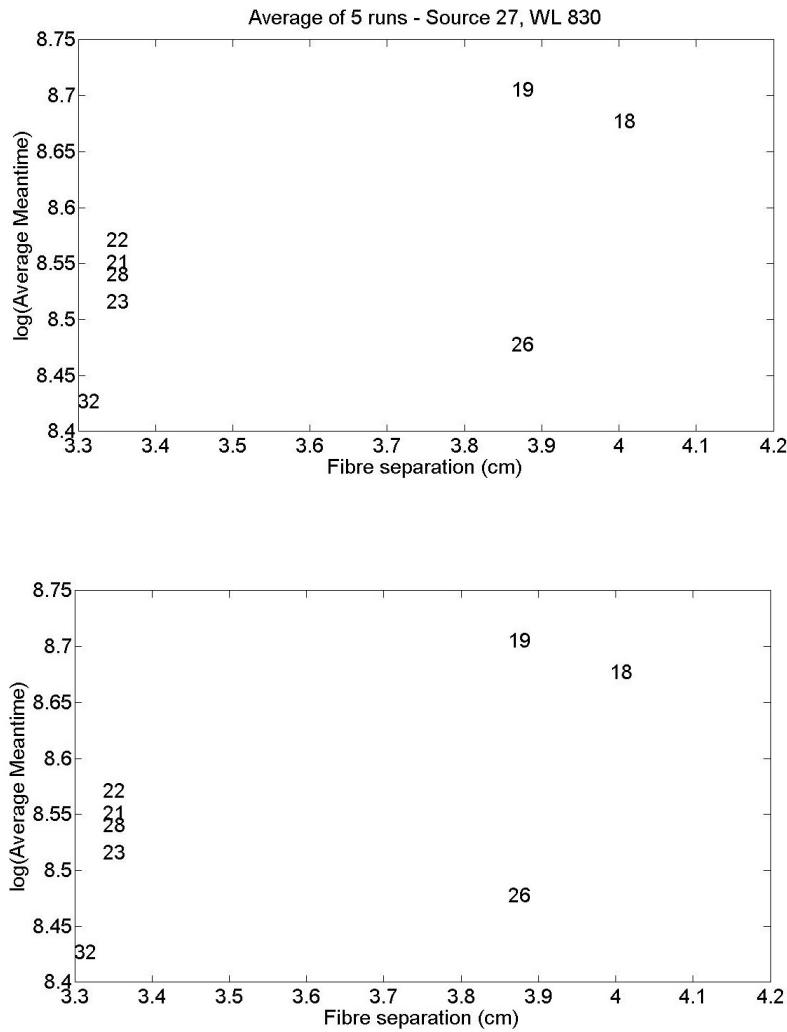


Figure 3.4: Meantime (top) and intensity (bottom) values, averaged over 5 runs acquired during rest with source 27 and wavelength 830nm. The numbers plotted on the logarithmic scale are the detectors' numbers that acquired the data and which are located horizontally according to their distance to the source.

3.2.2 A - Largest chromophore change

3.2.2.1 A.1

For every channel, the change (active - rest) in intensity across all wavelengths was calculated. Because the same channel and wavelength were repeated several times, the values for the same wavelengths were averaged; each point of the graph in figure 3.5 is the average of the 10 runs (5 for each source order) measured with that specific channel. The error bars for each point are the standard error of mean, that is $\frac{SD}{\sqrt{N}}$, $N = 10$. As figure 3.5 shows, the measured intensity change varies across channels. However, the different graphs show the same trend of having more positive values for lower wavelengths, and increasingly negative values for higher wavelengths. This behaviour is in accordance with what we expect from the absorption spectrum of figure 1.2 and the NIRS signal in

figure 1.8: oxyhaemoglobin's absorbance increases proportionally with the wavelength, and during an activation the concentration of oxyhaemoglobin increases much more than that of deoxyhaemoglobin. Therefore it is expected that higher wavelengths are related to more absorbed light and consequently less measured intensity.

A quantification of the intensity change of each channel was done by measuring the absolute area under the curve. Naturally, we prefer a small but statistically significant change over a large change with large error bars. So the absolute areas were weighted by the sum of the error bars: $Absolute\ area = Area\ under\ curve(\%) / \sum Error\ bar$.

The channels that yielded the highest intensity change are displayed on graphs A and B in figure 3.5, and the least intensity change on graphs C and D. The areas under the curve of these graphs are given in table 3.3, as well as the source-detector distances. It shows that channels with higher SNR have larger source-detector distances than the channels with lower SNR. It is an expected result considering what is explained in section 1.4.2.2, that a source-detector distance of d results in a depth sensitivity of approximately $d/2$, therefore sampling more cortex signal. Both channels with larger SNR point (if a vector goes from the source to the detector) to the left side of the array shown of figure 2.1, i.e. to the anterior area of the motor cortex.

Source,Detector	Weighted area under curve (%)	Distance between fibres (mm)
S27,D19	3.608	48.23
S32,D23	2.329	48.23
S27,D22	1.6322	28.53
S32,D26	1.4857	28.53

Table 3.3: Relationship between intensity change measurement and fibre distance.

This processing step led to the conclusion that the combination of source 27 and detector 19 formed the channel with best SNR, and the data recorded with it is therefore used for the next step. The raw data for some of the channels are shown in figure 3.6. Each graph shows an average of the 10 TPSFs obtained during rest for specific channels and wavelength 830 nm. The number of photon counts is lowest for channel 27-19, however it is important to stress that it is the difference in percentage of active and rest intensity (intensity is proportional to photon counts) that directed the choice for best channel. The channels with the largest source-detector distances will inevitably yield the lowest signal intensities. However, they will also sample the deeper tissues, and therefore have greater sensitivity to blood volume changes in the brain.

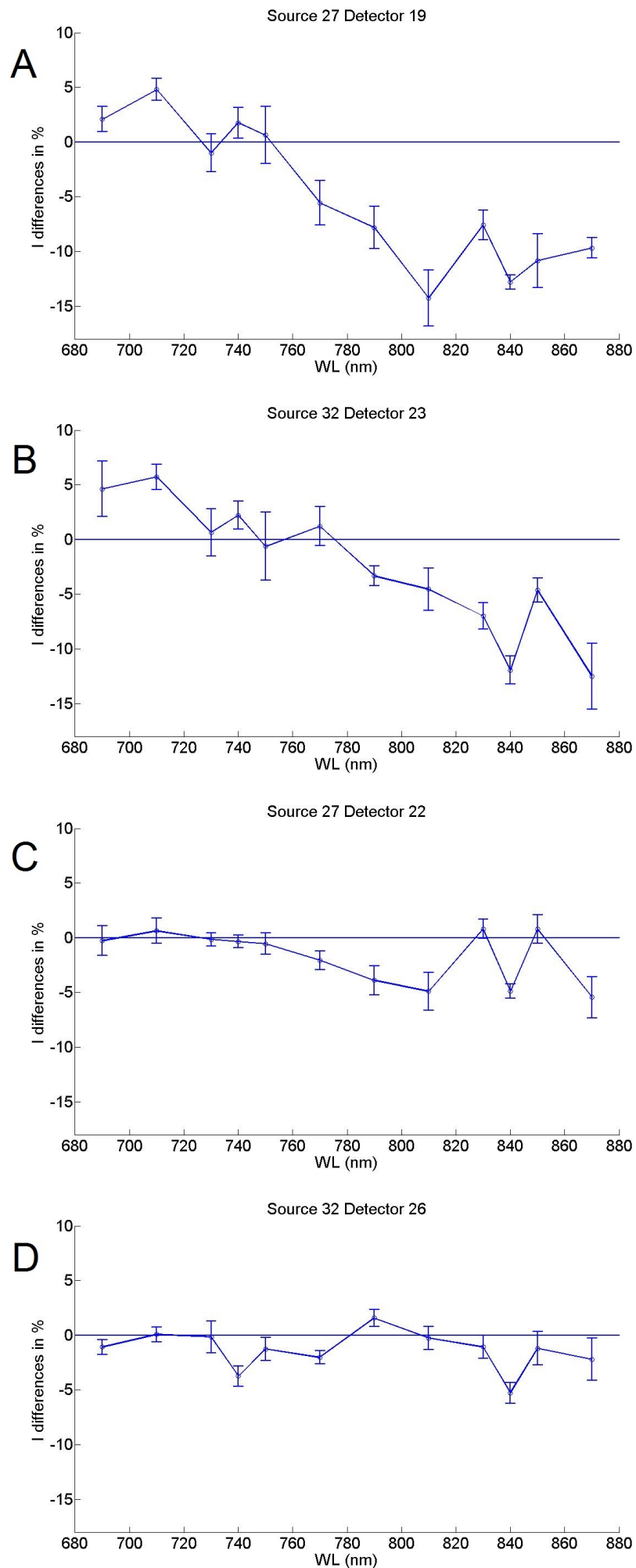


Figure 3.5: Change in intensity across the tested spectra. Each point is the average of the 10 measurements of a specific wavelength with the indicated channel.

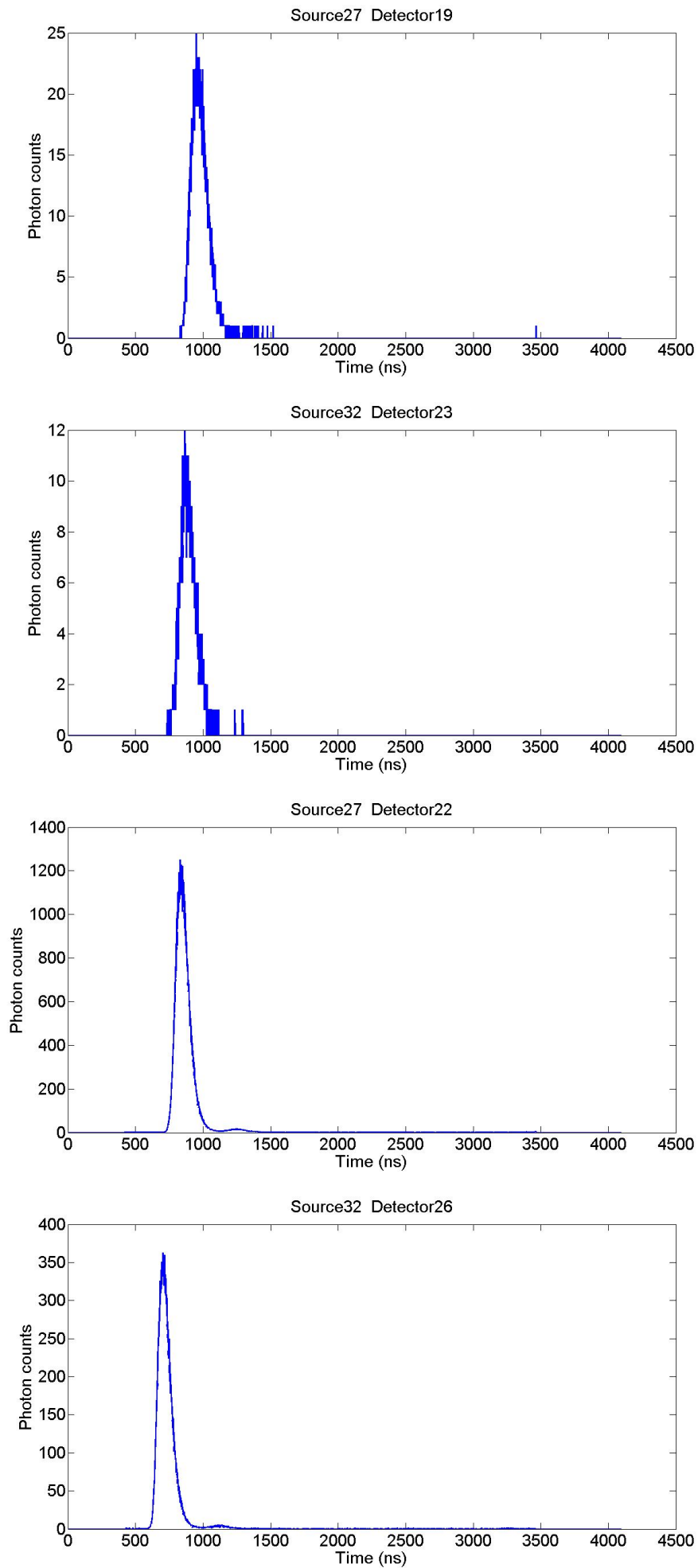


Figure 3.6: Each graph is the average of the 10 rest TPSFs measured for wavelength 830 nm.

3.2.2.2 A.2

The modified Beer-Lambert law, as given in equation (1.8), was re-expressed as follows::

$$\begin{aligned} \ln\left(\frac{I_2}{I_1}\right) &= -(\sum \alpha_i \cdot \Delta c_i) * Dx \Leftrightarrow \\ \log\left(\frac{I_2}{I_1}\right) &= -(\sum \epsilon_i \cdot \Delta c_i) * Dx \Leftrightarrow \\ OD &= (\sum \epsilon_i \cdot \Delta c_i) * Dx \end{aligned}$$

In matrix form, and for each chromophore and wavelength:

$$\begin{aligned} \begin{bmatrix} \Delta OD_{\lambda 1} \\ \Delta OD_{\lambda 2} \\ \Delta OD_{\lambda 3} \\ \Delta OD_{\lambda 4} \end{bmatrix} &= \begin{bmatrix} \epsilon_{\lambda 1, HbO} & \epsilon_{\lambda 1, HbR} \\ \epsilon_{\lambda 2, HbO} & \epsilon_{\lambda 2, HbR} \\ \epsilon_{\lambda 3, HbO} & \epsilon_{\lambda 3, HbR} \\ \epsilon_{\lambda 4, HbO} & \epsilon_{\lambda 4, HbR} \end{bmatrix} * \begin{bmatrix} \Delta C_{HbO} \\ \Delta C_{HbR} \end{bmatrix} * Dx \Leftrightarrow \\ \begin{bmatrix} \Delta C_{HbO} \\ \Delta C_{HbR} \end{bmatrix} &= \begin{bmatrix} \Delta OD_{\lambda 1} \\ \Delta OD_{\lambda 2} \\ \Delta OD_{\lambda 3} \\ \Delta OD_{\lambda 4} \end{bmatrix} * \begin{bmatrix} \epsilon_{\lambda 1, HbO} & \epsilon_{\lambda 1, HbR} \\ \epsilon_{\lambda 2, HbO} & \epsilon_{\lambda 2, HbR} \\ \epsilon_{\lambda 3, HbO} & \epsilon_{\lambda 3, HbR} \\ \epsilon_{\lambda 4, HbO} & \epsilon_{\lambda 4, HbR} \end{bmatrix}^{-1} * Dx^{-1} \quad (3.1) \end{aligned}$$

The changes in concentration of oxy and deoxyhaemoglobin are the outputs of equation (3.1). These changes in concentration are computed for a specific set of 4 wavelengths. Therefore, the inputs are:

- OD : the values of optical density change for each of the 4 wavelengths. This is calculated using the intensity difference (not in percentage) measured with channel 27-19;
- $\epsilon_{\lambda x, HbX}$: the values of extinction coefficients (in $\text{cm}^{-1} \text{molar}^{-1}$, from [3, 32, 12]) for each of the two chromophores and 4 wavelengths;
- Dx : the path length is calculated as $D * x = DPF * separation$, where DPF is 7.6 (average obtained from table 2 in [38]), and separation is the distance between source and detector of the studied channel, which is 4.8 cm.

The output, in molar (M), will change depending on the set of 4 wavelengths that is being tested, which gives us the opportunity of finding the set of 4 wavelengths that results in the most positive ΔC_{HbO_2} and most negative ΔC_{HbR} values. The number of sets tested is $\binom{12}{4} = 495$, i.e. the number of possible 4 wavelength combinations from a total of 12.

3.2.2.3 A.3

The resultant 495 oxy and deoxyhaemoglobin changes are recorded and will be used to rank how good each combination of 4 wavelengths is. The larger the changes, the higher the ranking. The rankings for oxy and deoxyhaemoglobin are combined by adding the difference associated with oxyhaemoglobin with the absolute difference associated with deoxyhaemoglobin. The top 5 and bottom 5 combinations are displayed in table 3.7.

	Oxyhaemoglobin					Deoxyhaemoglobin					Combination				
	max Δ [HbO] \rightarrow min Δ [HbO]					min Δ [HbR] \rightarrow max Δ [HbR]					Δ [HbO] + $ \Delta$ [HbR]				
	Rank				Change	Rank				Change	Rank				Change
Top 5 combos of wls	730	740	750	770	1,696	730	740	750	770	-0,611	730	740	750	770	2,308
	710	730	750	770	1,366	740	750	790	810	-0,479	710	730	750	770	1,822
	710	730	740	770	1,319	740	750	770	810	-0,476	710	740	750	770	1,779
	710	740	750	770	1,312	710	740	750	770	-0,467	710	730	740	770	1,768
	740	750	770	810	1,291	710	730	750	770	-0,456	740	750	770	810	1,767
Bottom 5 combos of wls	690	710	740	750	0,099	790	810	830	870	0,407	690	710	730	750	0,446
	810	830	840	850	-0,633	810	830	840	850	1,651	770	790	830	870	0,434
	810	830	850	870	-0,775	810	830	850	870	1,800	690	710	730	740	0,285
	810	830	840	870	-0,781	810	830	840	870	1,833	690	730	740	750	0,192
	810	840	850	870	-0,868	810	840	850	870	2,035	690	710	740	750	0,192

Figure 3.7: Ranking of best and worst combinations of wavelengths for method A - Largest chromophore change. The change values are in μ molar.

3.2.3 B - Comparison with theoretical model

3.2.3.1 B.1

The first step of this method is the same as A.1; we choose channel 27-19 as the one with best SNR.

3.2.3.2 B.2

A theoretical model of the intensity changes was designed, and is described by equation (3.2). The user inputs of the model are:

- change in concentration of oxyhaemoglobin: ΔC_{HbO_2} ;
- change in concentration of deoxyhaemoglobin: ΔC_{HbR} .

The extinction coefficients and path length are the same as for the previous method. The output is a continuous curve of the percentage change in intensity across all wavelengths, as shown in figure 3.8.

$$\Delta OD = - \begin{bmatrix} \epsilon_{HbO} & \epsilon_{HbR} \end{bmatrix} * \begin{bmatrix} \Delta C_{HbO} \\ \Delta C_{HbR} \end{bmatrix} * Dx$$

$$\Delta I(\%) = -(e^{-\ln(OD)} - 1) * 100 \quad (3.2)$$

The purpose of the model is to generate multiple intensity change curves and compare each of them with the data shown in figure 3.5(a). We are looking for the combination of ΔC_{HbO_2} and ΔC_{HbR} that will, as model inputs, generate the graph that is closest to 3.5(a). Since we expect the NIRS activation signal to have a large positive oxyhaemoglobin change and a small negative deoxyhaemoglobin change, the values tested varied from 0 to $1*10^{-5}$ molar (in $0.1*10^{-6}$ intervals) for ΔC_{HbO_2} and from $-2.5*10^{-6}$ to 0 molar (in $0.01*10^{-6}$ intervals) for ΔC_{HbR} . To assess the quality of the fit between the computer

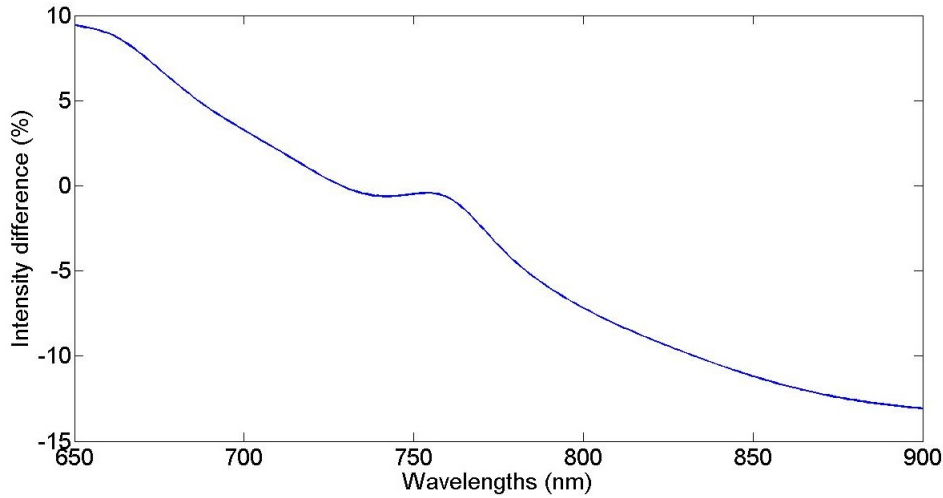


Figure 3.8: Theoretical model output.

curves and the experimental curve, measured with channel 27-19, we use:

$$\sum_{i=1}^{12} = \left[\frac{ITH_i - IEX_i}{EB_i} \right]^2 \quad (3.3)$$

calculated for each wavelength i . ITH is the value of the intensity change predicted by the model, IEX is the correspondent value of intensity change measured experimentally, and EB are the error bars associated to each of the 12 IEX points. This way the fitting of the curves is weighted by the error. Figure 3.9 represents the theoretical curve that best fits the intensity change measured with channel 27-19. The input values for this curve are $\Delta C_{HbO_2} = 1.4 \mu$ molar and $\Delta C_{HbR} = -0.46 \mu$ molar. These two values are employed in the next step of the data processing.

3.2.3.3 B.3

The modified Beer-Lambert law equation explained in step A.2 is employed here, but in this case we are looking for the set of 4 wavelengths that results in the ΔC_{HbO_2} and ΔC_{HbR} values closest to the theoretical ΔC_{HbO_2} and ΔC_{HbR} just obtained. The 495 wavelength combinations are tested, so we get 495 absolute values of the difference between the chromophore concentration change from the modified Beer-Lambert law equation and the optimum chromophore concentration change from the theoretical model.

3.2.3.4 B.4

By looking for the minimum difference between the two groups (MBLL_Δ [HbX] and Theoretical_Δ[HbX]), a ranking of the best and worst wavelength combinations is constructed. The rankings for oxy and deoxyhaemoglobin are combined by adding the absolute values of their differences. Figure 3.10 show the rankings for oxy, deoxyhaemoglobin and the combination of both.

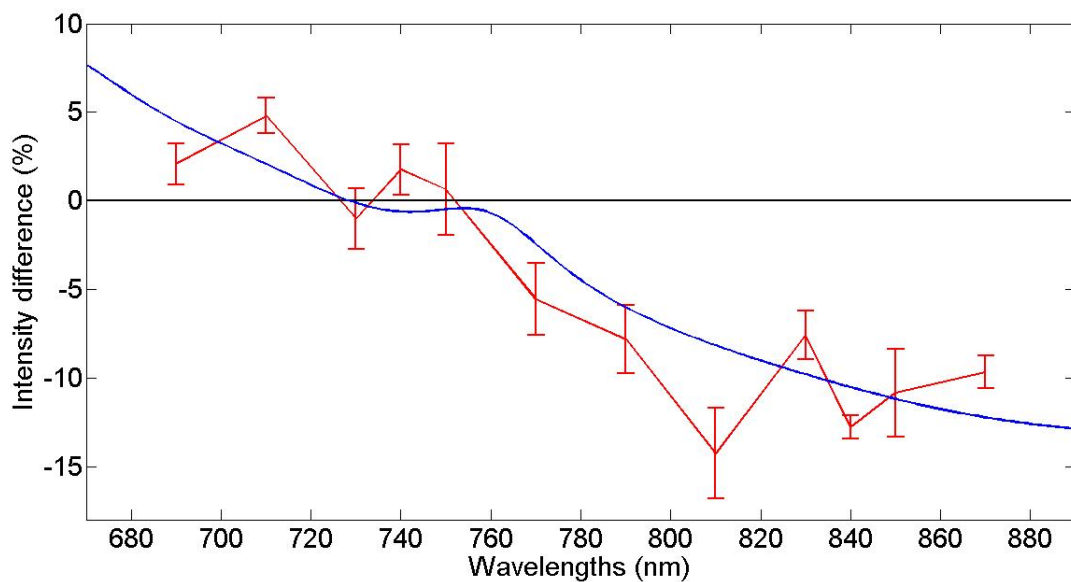


Figure 3.9: Theoretical (blue) and experimental (red) curves of intensity change across wavelengths. From all the theoretical curves computed, this is the one that best fits the experimental data.

	Oxyhaemoglobin					Deoxyhaemoglobin					Combination				
	TheorΔ[HbO]-MBLLΔ[HbO]					TheorΔ[HbR]-MBLLΔ[HbR]					Diff Δ[HbO] + Diff Δ[HbR]				
	Rank				Diff	Rank				Diff	Rank				Diff
Top 5 combos of wls	710	730	750	770	0,034	710	730	750	770	0,004	710	730	750	770	0,038
	710	730	740	770	0,081	730	740	750	810	0,005	710	730	740	770	0,092
	710	740	750	770	0,088	710	740	750	810	0,007	710	740	750	770	0,095
	740	750	770	810	0,109	710	740	750	770	0,007	740	750	770	810	0,124
	710	740	770	810	0,159	710	730	740	770	0,010	730	740	750	810	0,164
Bottom 5 combos of wls	690	710	740	750	1,301	790	810	830	870	0,867	790	810	830	870	2,073
	810	830	840	850	2,033	810	830	840	850	2,111	810	830	840	850	4,144
	810	830	850	870	2,175	810	830	850	870	2,260	810	830	850	870	4,435
	810	830	840	870	2,181	810	830	840	870	2,293	810	830	840	870	4,474
	810	840	850	870	2,268	810	840	850	870	2,495	810	840	850	870	4,764

Figure 3.10: Ranking of best and worst combinations of wavelengths for method B - Comparison with theoretical model. The difference values are in μ molar.

3.2.4 C - Comparison with gold-standard image

3.2.4.1 C.1

The image reconstruction methods described in section 1.5 were employed using a head mesh. The 3D images can be reconstructed using a variable number of wavelengths and datatypes. The datatypes used for the image reconstruction were amplitude and phase. In opposition to the previous methods, the information collected with every channel used during the experiment is going to be employed. For this step, we first reconstructed for each chromophore a gold-standard image, i.e. an image with information from the 12 tested wavelengths - see figure 3.11. The background chromophores were considered flat throughout the experience. The matrix dimensions of the image are 30x30x20, where each pixel measures μ . The signal intensity over the sagittal plane (closer or further from the array) was analysed and we concluded that the 5th slice, corresponding to a depth of μ cm, was the one with more signal. Figure 3.11 is therefore displaying a 30x30 matrix. One can locate on the images the central sulcus, along which the motor cortex is lined up. The top image of figure 3.11 shows a marked increase in oxyhaemoglobin. Posteriorly to the area where the increase takes place, there is a decrease in oxyhaemoglobin. This phenomenon occurs when dilation of one vascular network "steals" blood flow from another region. When comparing the oxy and deoxyhaemoglobin images, one can see that the scale changes: the maximum and minimum oxyhaemoglobin changes are four times as large as deoxyhaemoglobin's.

3.2.4.2 C.2

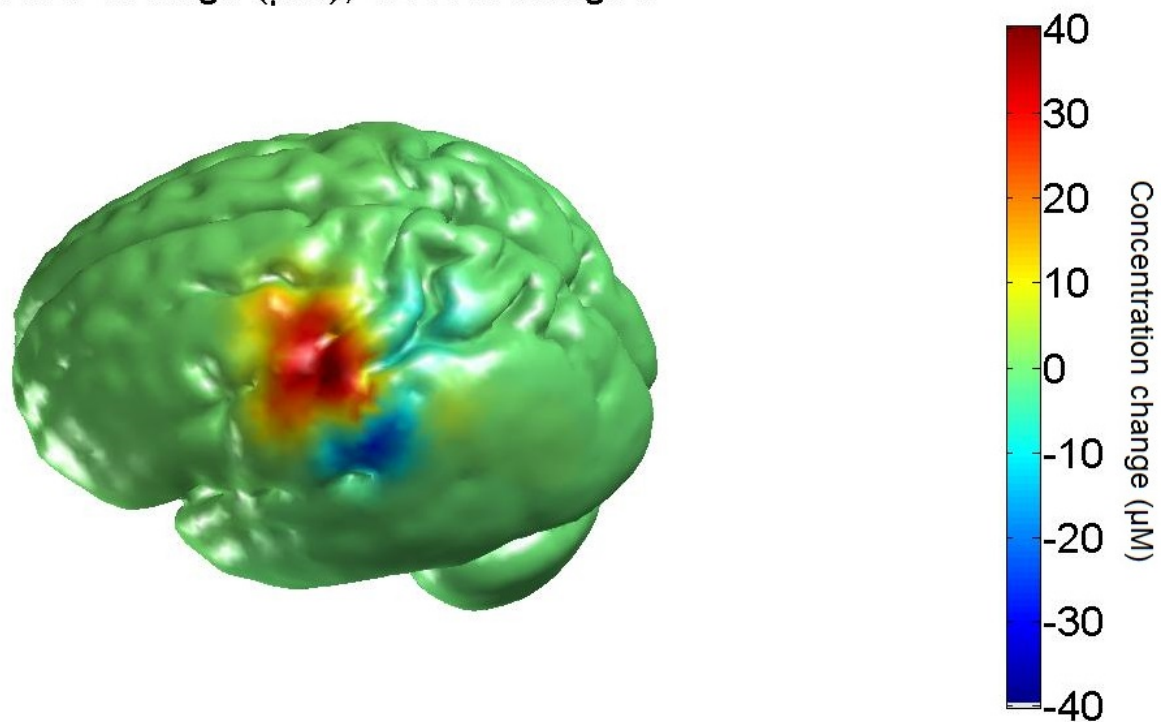
495 images, corresponding to each of the 4-wavelength combinations, were reconstructed for each chromophore (oxy and deoxyhaemoglobin). These images also showed maximum signal for the 5th slice and for this reason, the comparison between the gold-standard and the 4-wavelength images was done using slice number 5. In this way the analysis is simpler because it is done between two 2-D images. The comparison was done by applying the root-mean-square error (RMSE) to every pixel:

$$RMSE = \sqrt{(12\text{ }wl\text{ }img - 4\text{ }wl\text{ }img)^2}.$$

3.2.4.3 C.3

The ranking in figure 3.12 was done focussing on the smallest and largest difference between the 4-wavelengths and the gold-standard images. The combination of the changes in oxy and deoxyhaemoglobin concentration are combined by adding the values of their differences. Two properties of the best sets for each and both chromophores are plotted in figure 3.18: average and mean. Plot C, which shows the combination of both chromophores, points to a precise wavelength range (150-180 nm) and the average wavelength is between 750 and 800 nm. Figures 3.13 and 3.14 show the reconstructed images for the best and worst combinations of 4 wavelengths.

HbO Change (μM), 12 Wavelengths



HbR Change (μM), 12 Wavelengths

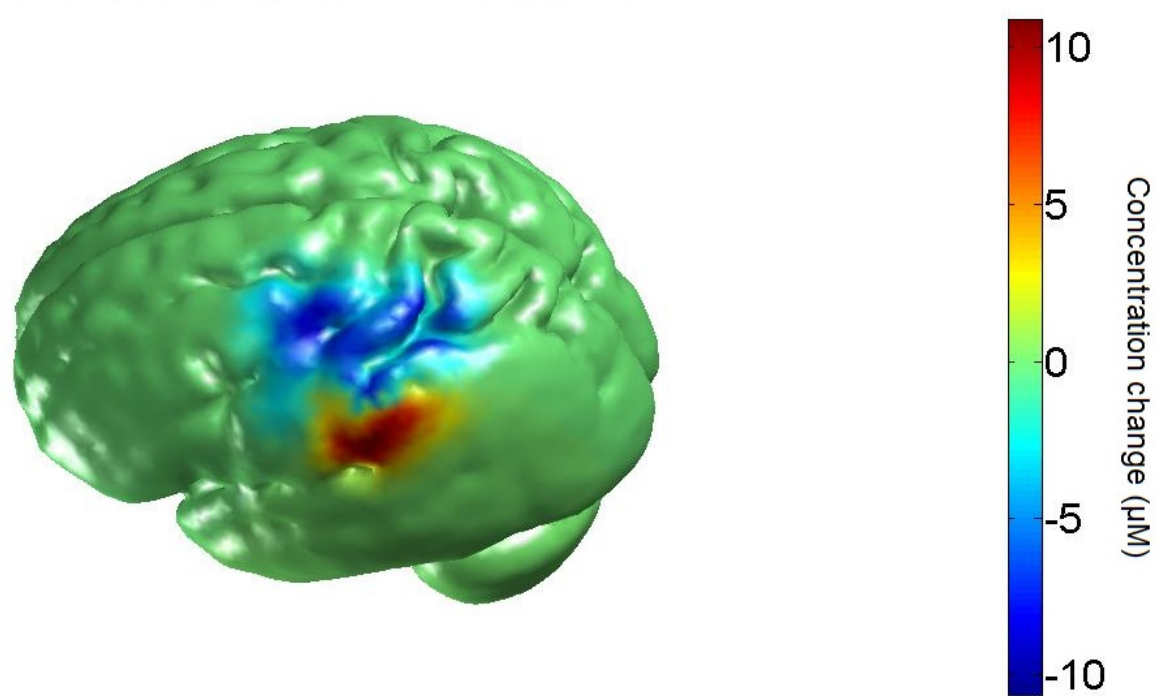
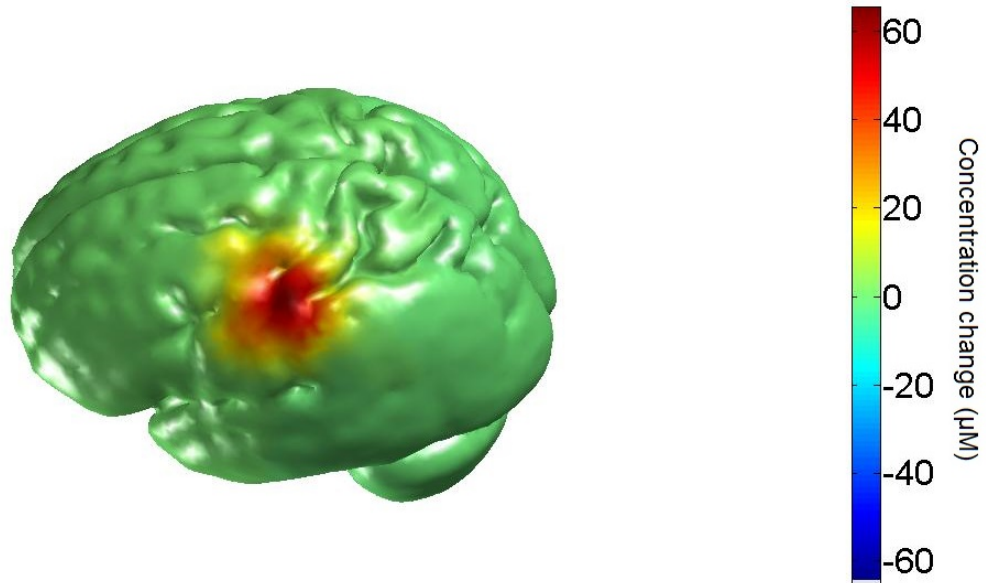


Figure 3.11: Images of brain activation reconstructed with 12 wavelengths.

	Oxyhaemoglobin					Deoxyhaemoglobin					Combination				
	RMSE(12wl,4wl)					RMSE(12wl,4wl)					Diff $\Delta\text{HbO} + \text{Diff } \Delta\text{HbR}$				
	Rank		Diff			Rank		Diff			Rank		Diff		
Top 5 combos of wls	690	740	750	790	1,819	690	710	730	750	0,770	690	790	810	840	2,771
	690	740	790	840	1,823	690	840	850	870	0,782	690	750	790	840	2,803
	690	750	790	840	1,825	690	710	730	740	0,798	690	740	790	840	2,856
	690	770	790	840	1,842	690	830	840	850	0,801	690	810	840	870	2,870
	690	790	810	840	1,845	690	790	850	870	0,803	690	790	810	870	2,887
	690	740	770	790	1,847	690	830	850	870	0,807	690	710	750	840	2,900
	690	750	770	790	1,851	690	790	810	850	0,808	690	770	790	840	2,928
	740	750	770	790	1,855	690	790	840	850	0,813	690	750	810	840	2,954
	740	770	790	840	1,894	690	790	810	870	0,820	690	710	740	840	2,958
	690	770	810	840	1,905	690	810	840	850	0,832	690	740	810	840	2,994
Bottom 10 combos of wls	690	740	830	850	4,500	790	830	840	850	3,110	740	750	770	850	7,010
	690	750	830	850	4,507	790	810	830	870	3,143	740	750	830	850	7,013
	690	730	830	850	4,518	810	840	850	870	3,153	790	810	850	870	7,103
	770	790	830	850	4,558	790	810	840	850	3,189	730	740	830	850	7,122
	730	750	830	850	4,719	810	830	850	870	3,227	790	830	850	870	7,220
	750	770	830	850	4,745	810	830	840	850	3,231	750	770	830	850	7,278
	730	770	830	850	4,746	830	840	850	870	3,295	770	790	830	850	7,311
	740	750	830	850	4,752	790	810	850	870	3,321	790	810	830	850	7,403
	730	740	830	850	4,757	790	830	850	870	3,332	730	770	830	850	7,413
	740	770	830	850	4,808	790	810	830	850	3,419	740	770	830	850	7,564

Figure 3.12: Ranking of best and worst combinations of wavelengths for method C - Comparison with gold-standard image.

HbO Change (μM), Wavelengths 690 790 810 840



HbO Change (μM), Wavelengths 740 770 830 850

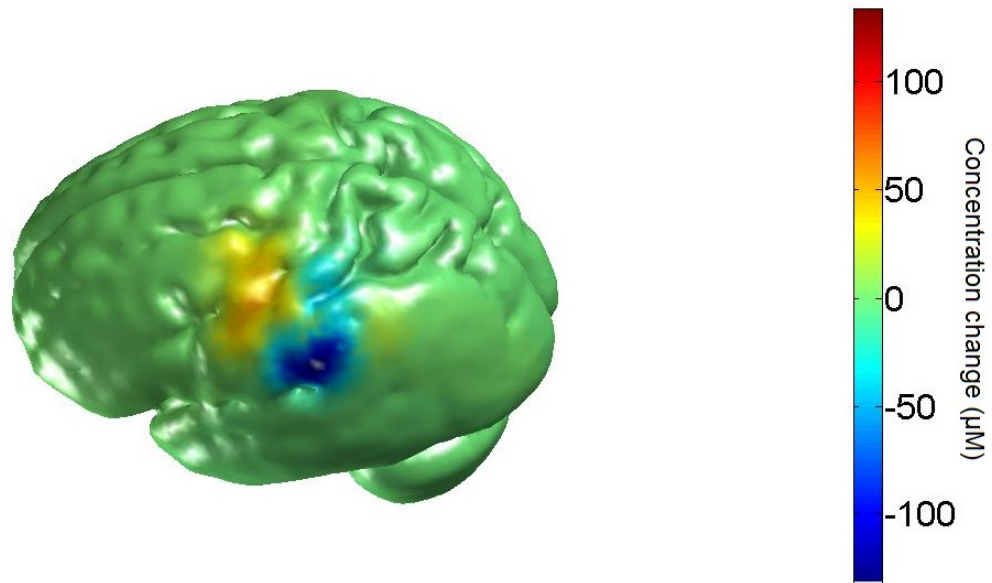
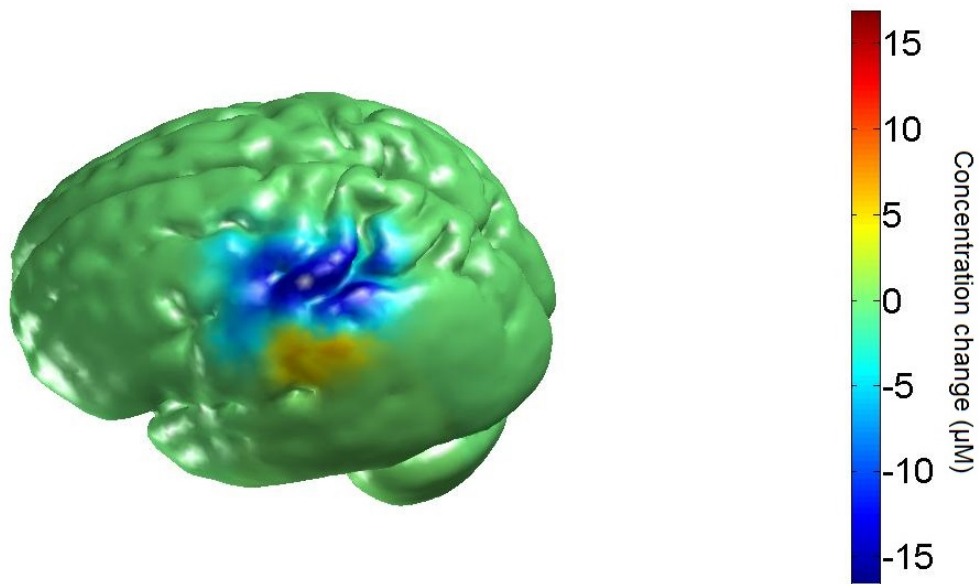


Figure 3.13: Images reconstructed for oxyhaemoglobin using the best (690, 790, 810, 840) and worst (740, 770, 830, 850) combination of wavelengths for method C.

HbR Change (μM), Wavelengths 690 790 810 840



HbR Change (μM), Wavelengths 740 770 830 850

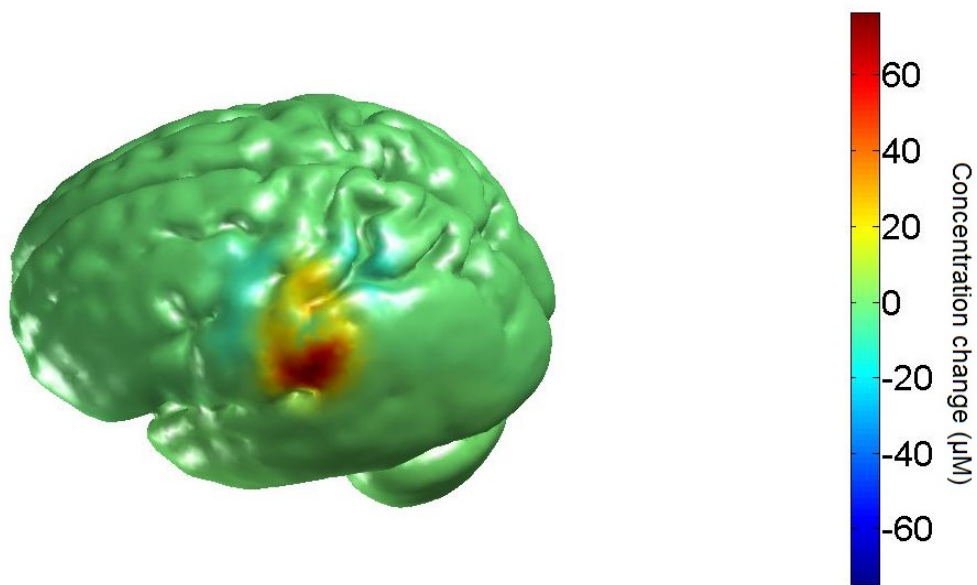


Figure 3.14: Image reconstructed for deoxyhaemoglobin using the best (690, 790, 810, 840) and worst (740, 770, 830, 850) combination of wavelengths for method C.

3.2.5 Comparison between methods

Figure 3.15 compares the wavelengths of methods A, B and C. Figure 3.16 and 3.17 shows the oxyhaemoglobin images obtained when using the best and worst combination of wavelengths correspondent to methods A and B. Figure 3.18 compares the average wavelength and range of wavelengths of methods A, B , C and literature values.

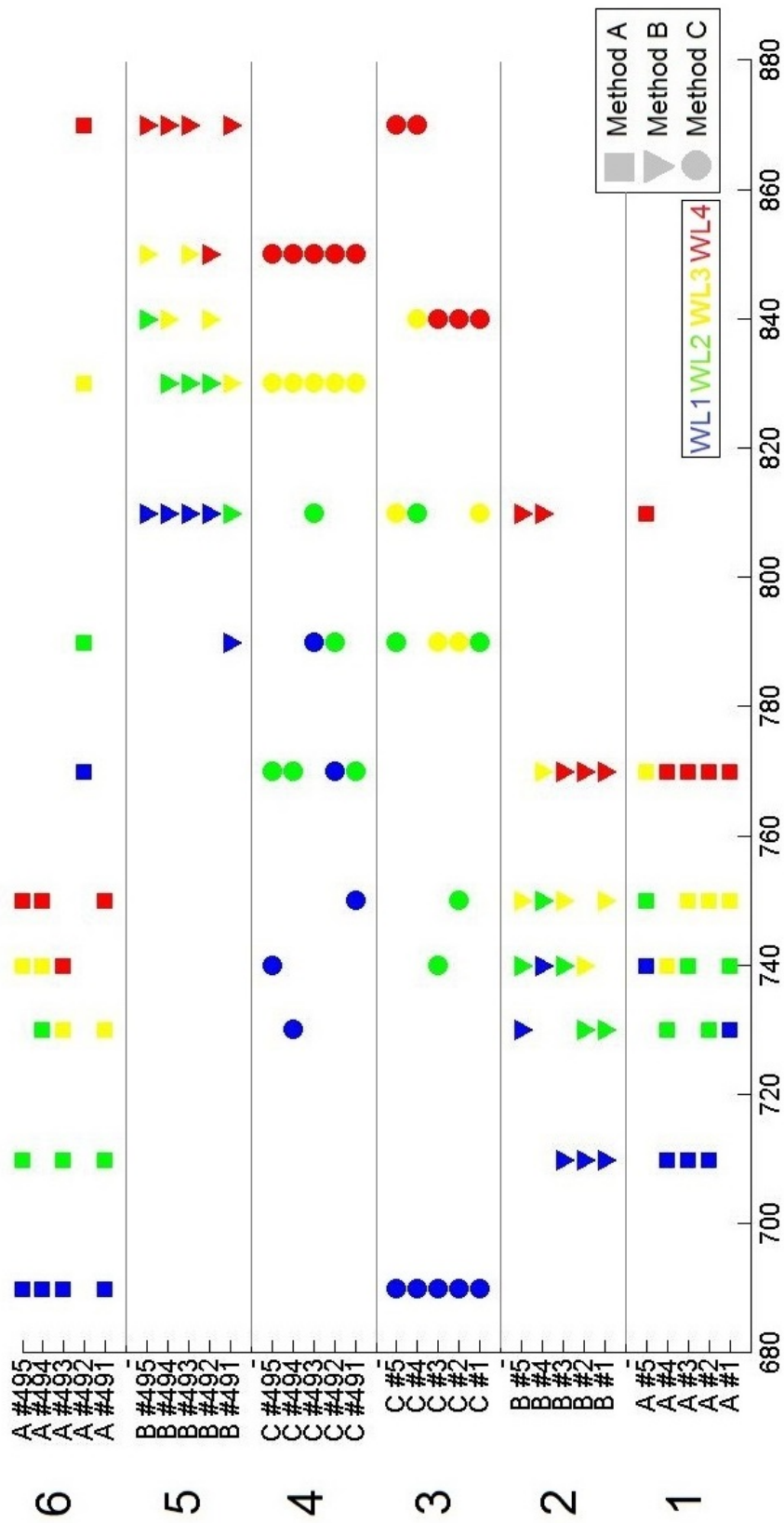
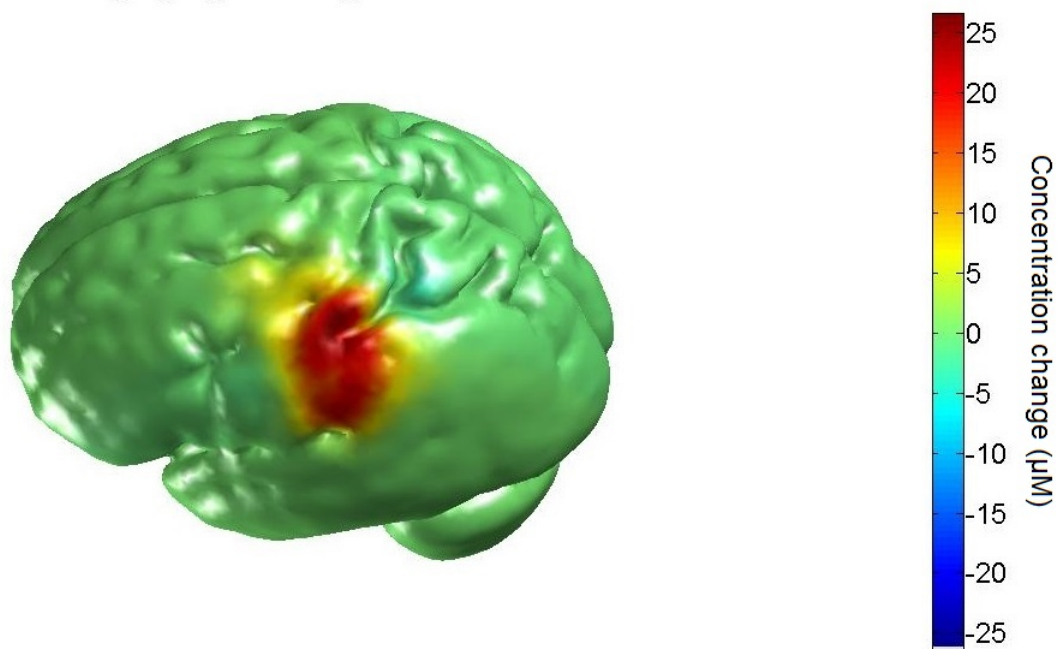


Figure 3.15: Comparison of the five best (#1 to #5) and worst (#491 to #495) wavelength combinations for both chromophores and for methods A, B and C. The colors correspond to the wavelength order within the combination: 1st is blue, 2nd is green, 3rd is yellow, 4th is red.

HbO Change (μM), Wavelengths 730 740 750 770



HbO Change (μM), Wavelengths 690 710 740 750

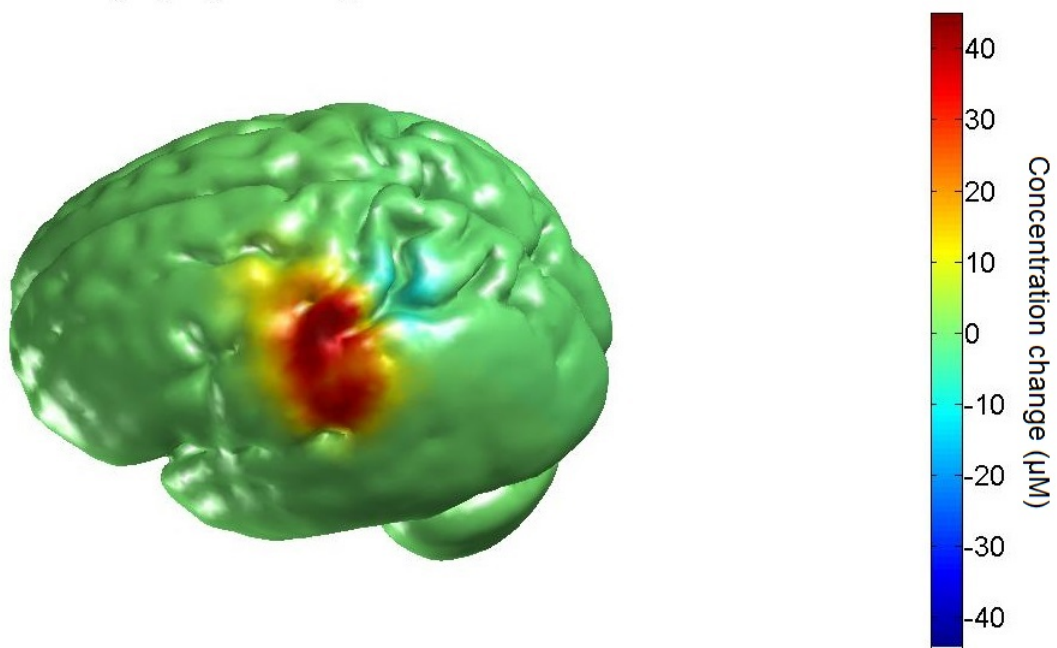
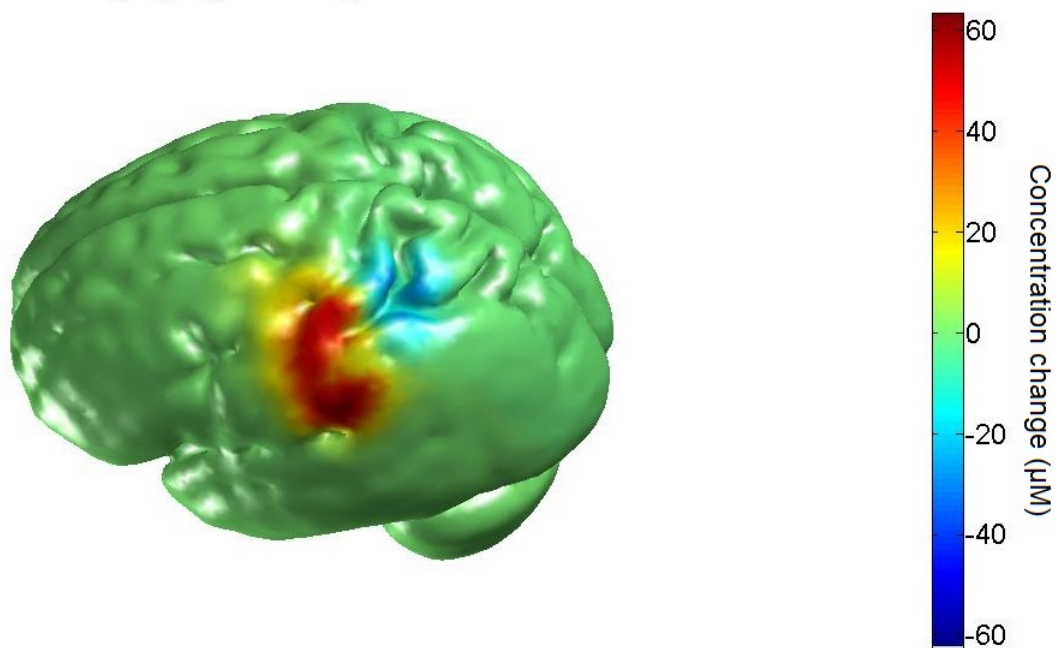


Figure 3.16: Image reconstructed for oxyhaemoglobin using the best (730, 740, 750, 770) and worst (690, 710, 740, 750) combination of wavelengths for method A.

HbO Change (μM), Wavelengths 710 730 750 770



HbO Change (μM), Wavelengths 810 840 850 870

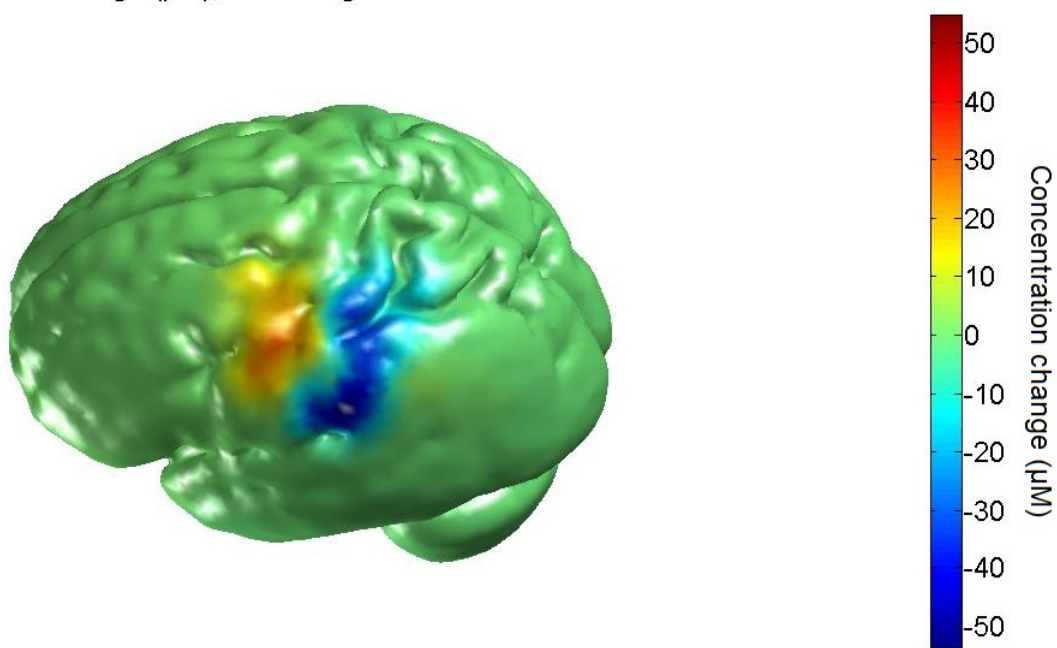


Figure 3.17: Image reconstructed for oxyhaemoglobin using the best (710, 730, 750, 770) and worst (810, 840, 850, 870) set of wavelengths for method B.

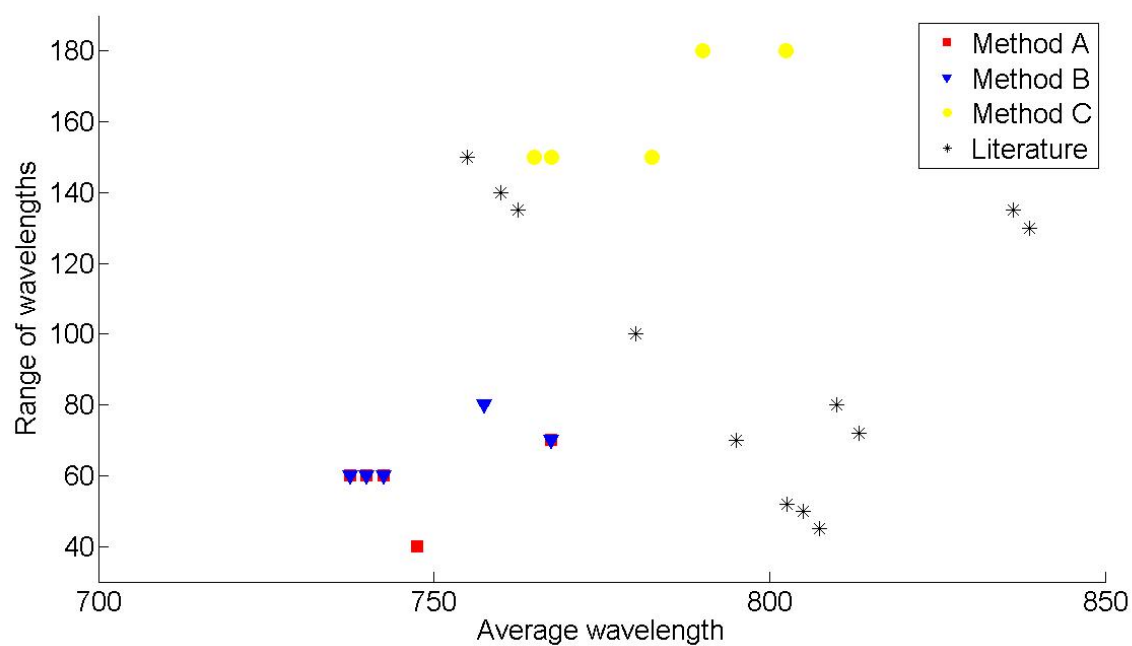


Figure 3.18: Comparison of the average and range of the five best wavelength combinations for both chromophores using methods A, B and C (circle) and for the values present in literature (star), from [19].

Chapter 4

Discussion

4.1 Discussion of results obtained with methods A, B and C

Three different methods were tested with the purpose of determining the best set of 4 wavelengths for functional optical imaging of the adult brain. Method A consisted of looking for the wavelength combination that created the largest chromophore change when input in an algorithm based on the modified Beer-Lambert law. Method B consisted on looking for the wavelength combination whose resultant output from the algorithm based on the modified Beer-Lambert law was closest to a theoretical model. Method C looks for the wavelength combination that generates the image that is closest to a gold-standard image. The wavelength combinations rankings were first created for oxyhaemoglobin and deoxyhaemoglobin separately and then combined to take into account the two chromophores.

The wavelength rankings for the three methods yielded different results. The best wavelength combination for method A is (730, 740, 750, 770) which corresponds to a concentration change of 1.70 μ molar for oxyhaemoglobin and -0.61 μ molar for deoxyhaemoglobin. For method B, the best combination is (710, 730, 750, 770), and the concentration changes computed by the theoretical model are 1.4 μ molar for oxyhaemoglobin and -0.46 μ molar for deoxyhaemoglobin. Knowing the shape of the typical NIRS signal, we think that the fact ΔC_{HbO_2} is one order of magnitude higher than ΔC_{HbR} makes sense and shows some coherence between the two methods. The best ranked wavelength combination for method C is (690, 790, 810, 840). The values of chromophore change resultant from the reconstructed images for method C are between -70 and 125 μ molar, which is very high. It is known that linear image reconstruction can produce images with unreliable scales, so these values should be interpreted qualitatively rather than quantitatively.

Figure 3.15 allows us to compare the results for the three methods. By looking at rows 1, 2 and 3, we can see that the best wavelength combinations have a much narrower range for methods A and B than for C. The averages of the best combinations for methods A and B are quite similar, with wavelength 710 nm being the lowest and 770 nm being the highest wavelength of the majority of their combinations. On the other hand, method C's lowest wavelength is clearly 690 nm (it is the same for the best 5 combinations) and

highest wavelength is predominantly 840 nm. Analysing rows 4, 5 and 6 of figure 3.15, corresponding to the worst combinations, we see that the average wavelength of methods A and B moved to opposite sides of the spectrum: 4 out of 5 of the worst wavelengths of method A are below 750 nm while all worst wavelengths of method B are above 790 nm. Method C, on the other hand, yields wavelengths near the centre of the available range: 770 nm, 830 and 850 nm are strongly present in the worst wavelength combinations. A similar result can be found with method B, since it displays wavelength 830 nm in all of its worst combinations. When comparing best and worst combinations obtained with different methods, some important differences arise. While all 5 best combinations from method C have 690 nm as their first wavelength, this is also true for 4 out of 5 worst combinations from method A. Even though 770 nm is in 4 out of the 5 worst combinations of method C, it is also present in 9 out of the 10 best combinations obtained with methods A and B. In general, the analysis of figure 3.15 shows that different methods give different results. The combinations associated to methods A and B tend to have a small range and an off-centered average value in comparison to method C.

The image reconstruction is part of method C, however some images were reconstructed for the best and worst combinations of methods A and B in order to complete the data analysis. When comparing the gold-standard images (figure 3.11) with the best combination images of method C for oxy and deoxyhaemoglobin (top images of figures 3.13 and 3.14) we can see that the size and location of the activation is the same. The main difference between the images is that the 4-wavelengths images seem to display much less steal effect (deoxyhaemoglobin positive concentration change next to its main negative change area) than the gold-standard images.

Method A, in figure 3.16, shows an important positive change of oxyhaemoglobin for its best wavelength combinations. However, the activation area is broader than and slightly below the activation seen on the gold-standard image. The worst wavelength combination for method A, however, creates an image very similar to the best combination. The reason for this is the inadequate formula used to rank the wavelengths for method A: the combinations with negative oxyhaemoglobin values and positive deoxyhaemoglobin values, which should be ranked as the worse combinations, ended up in the middle of the ranking because the value of their sum isn't the lowest. The lowest ranked combinations for method A aren't therefore the effective worse combinations. The images for method B, in figure 3.17, also show a positive oxyhaemoglobin change that is broader and located lower than the gold-standard image change. There is some steal effect but it is in a different area than the one observed on the gold-standard image. The image resulting from the worst combination is very similar to the equivalent image from method C (figure 3.13).

The results obtained with methods A (largest chromophore change) and B (comparison with theoretical model) have to be interpreted considering their limitations. These methods only included data from one channel, whose optical fibre transmission and detector sensitivity influenced the wavelength ranking in a specific way. It is therefore unrealistic to generalize the results obtained from these methods. In case of a spectroscopy experiment, which acquires information using a single channel, these results would have more value and a fair comparison between the three methods would then be possible and more interesting.

An advantage of methods A and C over method B is their simpler procedure, which prevents too much error accumulation step after step. A disadvantage of method A comparing with method C is that by choosing the largest change in oxy and deoxyhaemoglobin, we may be favouring combinations where the proportion of change of each chromophore is different from the proportion seen in the typical NIR signal, as explained above. Method C (comparison with gold-standard image), on the another hand, includes data from all employed channels and its only premise is that the more wavelengths are used in image reconstruction, the more accurate is the resultant image. The images obtained with methods A and B were more dissimilar from the gold-standard images and ultimately, what we want are the best possible functional images. Therefore it makes sense to optimise the choice of wavelengths based on the method and the combination that provides the best image. Method C therefore seems to be the best solution, so the results obtained with it are discussed in more detail in the following section.

4.2 Discussion of results obtained with method C

The oxy and deoxyhaemoglobin images computed with the best wavelength for method C show the maximum change in the same location. When locating the central sulcus in these images and in figure 1.7, we see that the activated area is very close to the area mapped as responsible for motor coordination of the fingers.

In figure 3.12 we see that the RMSE for the ten best wavelength combinations do not vary very much, meaning that these different wavelength combinations can still produce very good images.

Looking at oxy and deoxyhaemoglobin's absorption spectrum (figure 1.2), the best ranked wavelength combination for method C has two wavelengths very close to the isosbestic point, on each side - 790 and 810 nm. The oxy and deoxyhaemoglobin absorpotion for these wavelengths is similar and low. For 690 nm, oxyhaemoglobin shows very low absorption, while deoxyhaemoglobin is the opposite. For 840 nm, oxyhaemoglobin absorbs more than deoxyhaemoglobin. The location of the worse wavelength combination (740, 770, 830, 850) in the absorption spectrum is also two wavelengths on each side of the isosbestic point. However the absorption values for the pairs 740-770 and 830-850 are very close, which might mean this 4 wavelength combination doesn't have advantages compared to a combination of 2 wavelengths.

These results can be compared with the literature values mentioned in section 1.6. In S. Lloyd-Fox et. al (2010), 75% of the studies reviewed use wavelength 830 nm or very close to 830 nm. In the present work, this wavelength was usually present in the worst wavelength combinations, and always present if we just consider oxyhaemoglobin. 30% of the studies used 770 and/or 850 nm, which are two of the other wavelengths that usually appear in our worst combinations. In fact, *all* of the 36 study done between 1998 and 2009 and reviewed by S. Lloyd-Fox et. al employed one or more of the wavelengths that appear in our worst combinations. The wavelengths present in our best combinations were used in 20% of these studies. All the studies that used 4 wavelengths simultaneously employed 775 nm (close to 770, which appears in the worst combination), 850 nm (also in the worst combination) and one wavelength above 900 nm, which is out of the range we tested. The best ranked wavelengths weren't used in any of the 4 wavelength studies.

Figure 3.18 shows it more clearly; we can see that the range of wavelengths used in previous published studies is lower than that suggested by method C, which is around 150-180 nm wide. Looking at the average wavelength, the values for literature are the same or higher than the values yielded by method C. The disparity between our best ranked wavelength combinations and the wavelengths usually employed in research is a good reason to research more into wavelength optimization for brain optical imaging.

4.3 Limitations and future work

Each of the experimental methods described in this thesis has involved various approximations and assumptions, which must be addressed if the accuracy and repeatability of such methods are to be improved. The ranking based on the comparison with a gold-standard image was done using only the 5th slice of the 3D images. This might have had an effect of the results, so a 3D comparison of the 4 wavelength and 12 wavelength could be done. Knowing that the scale of images reconstructed linearly is unreliable, a normalisation of the oxy and deoxyhaemoglobin values by the peak value of the gold-standard image would give more significance to the results. An analysis of the data to find the best combination of 2 or 3 wavelengths would be useful, since the majority of optical imaging systems don't use 4 wavelengths. These data could be used to explore the change in scatter properties and the change in concentration of other chromophores. It could be useful to test the reliability of the results by repeating the experiment on the same subject and on other subjects, and repeating the experiment on other areas of the brain. The approach that we used can also be applied to other optical imaging systems

4.4 Conclusion

We have shown that the best combination of 4 wavelengths for imaging oxyhaemoglobin and deoxyhaemoglobin using a time-resolved optical imaging system is 690, 790, 810 and 840 nm. We have also shown that an image-based approach to the problem of wavelength selection is more promising than a data-based approach.

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